



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/150
15 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] USN, while serving as [redacted] USS NIMITZ (CVN 68) in 2010. Furthermore, there is no evidence that [redacted] took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that, while serving as [redacted] USS NIMITZ (CVN 68):

a. On or about 31 January 2010, a [redacted] [redacted] Although [redacted] attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 8 February 2010, a [redacted] [redacted] Although [redacted] attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

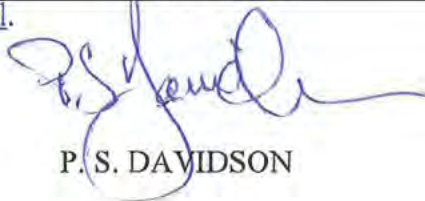
3. Based on all the facts and circumstances known to me for these allegations, I determined [redacted] held an honest and reasonable belief that attendance at the dinners was [redacted] and accordingly, that it was ethically permissible to attend. I also determined that [redacted] held a reasonable mistake of fact that [redacted] [redacted] As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] [redacted]

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

4. I addressed this matter personally with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. The above findings constitute reportable information in accordance with reference (d).

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/148
15 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] USN, while serving as USS NIMITZ (CVN 68) [REDACTED] in 2010. Furthermore, there is no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, a prohibited source.
2. On or about 19 January 2010, [REDACTED] sent an email to a GDMA employee seeking recommendations for lodging for [REDACTED] officers in Phuket, Thailand. Based on all the facts and circumstances known to me for this allegation, I determined [REDACTED] did not solicit discounted lodging for senior officers from GDMA. The evidence indicates that GDMA did not provide discounted lodging to senior officers, including [REDACTED]. The preponderance of the evidence did not support a violation of reference (c). As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED].
3. The above findings constitute reportable information in accordance with reference (d). I addressed this matter personally with [REDACTED] through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. Restitution and reimbursement would not be appropriate in this matter and, in any event, the CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.
4. My point of contact for this matter is [REDACTED] may be reached at [REDACTED] or [REDACTED]@navy.mil.


P. S. DAVIDSON

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/147
15 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) in 2010. Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that, while serving as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)

a. On or about 31 January 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) The preponderance of the evidence does not support a violation of reference (c) because there is insufficient evidence that [redacted] (b)(6), (b)(7)(C) attended this dinner.

b. On or about 8 February 2010, a [redacted] (b)(7)(A) [redacted] (b)(7)(A) Although [redacted] (b)(6), (b)(7)(C) attended this dinner, the preponderance of the evidence does not support a violation of reference (c).

3. Based on all the facts and circumstances known to me regarding the 8 February allegation, I determined [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that attendance at the dinner was [redacted] (b)(7)(A) and accordingly, that it was ethically permissible to attend. I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact that [redacted] (b)(7)(A) [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

4. I addressed this matter personally with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

5. The above findings constitute reportable information in accordance with reference (d).

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) [@navy.mil](mailto:[redacted]@navy.mil).



P. S. DAVIDSON

Copy to:

VCNO (N09D)

CNR [redacted] (b)(6), (b)(7)(C)

NCIS

DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/146
15 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO USS NIMITZ (CVN 68) STRIKE GROUP
MEMBERS IN ATTENDANCE AT 2010 EVENTS IN THAILAND AND MALAYSIA

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct against a number of individuals during port visits to Thailand and Malaysia in January and February 2010.

2. On or about 31 January 2010, the following individuals attended a dinner in Thailand that was portrayed to be a [redacted] (b)(7)(A)

[redacted] (b)(7)(A) The preponderance of the evidence did not support a violation of reference (c).

- a.
- b.
- c.
- d.
- e.
- f.
- g.
- h.
- i.
- j.
- k.
- l.
- m.
- n.

[redacted] (b)(6), (b)(7)(C)

Subj: REPORTABLE INFORMATION ICO USS NIMITZ (CVN 68) STRIKE GROUP
MEMBERS IN ATTENDANCE AT 2010 EVENTS IN THAILAND AND MALAYSIA

3. On or about 1 February 2010, [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) attended a golf event in Thailand that was portrayed
to be a [redacted] (b)(7)(A)
[redacted] (b)(7)(A) The preponderance of the evidence did not support a violation of reference (c).

4. On or about 8 February 2010, [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) attended a dinner in Malaysia that was portrayed to be a [redacted] (b)(7)(A)
[redacted] (b)(7)(A) The preponderance
of the evidence did not support a violation of reference (c).

5. Based on all the facts and circumstances known to me for these allegations, I determined the
above named officers held an honest and reasonable belief that attendance at these events was
[redacted] (b)(7)(A) and accordingly, that it was ethically
permissible to attend. I also determined that the officers held a reasonable mistake of fact that a
[redacted] (b)(7)(A)
[redacted] (b)(7)(A) As such, I determined
that it would be inappropriate to substantiate misconduct against the named officers.

6. The above findings constitute reportable information in accordance with reference (d).

7. I considered all potential and appropriate remedies consistent with the evidence and findings
of fact, including restitution and reimbursement. The CDA does not have the legal authority to
require reimbursement or restitution for the value of gifts received.

8. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at
[redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNR [redacted] (b)(6), (b)(7)(C)
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/145
19 Jun 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C)

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) you:

(b)(7)(A)

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 29 June 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/144
19 Jun 17

From: Commander, United States Fleet Forces Command
To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS NIMITZ (CVN 68) you:

(b)(7)(A)

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 29 June 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/143
19 Jun 17

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) you:

(b)(7)(A)

2. This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than 29 June 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) @navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/141
8 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) USN, while he was serving as [redacted] (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76), from November 2008 through December 2010. Based on a preponderance of the evidence, I substantiated three of five misconduct allegations against [redacted] (b)(6), (b)(7)(C). The substantiated allegations of misconduct occurred during REAGAN port visits in Singapore and Phuket, Thailand, in 2009. As set forth below, I found that [redacted] (b)(6), (b)(7)(C) wrongfully accepted improper gifts in excess of permissible limits on several occasions, including a lavish private dinner, and alcohol and entertainment at two after parties paid for by Leonard Francis and GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations of misconduct, I did not find that a preponderance of the evidence substantiated that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the gift of a dinner in Phuket, Thailand, on or about 23 September 2009, or a golf outing on or about 27 September 2009. Notwithstanding the fact that Leonard Francis and GDMA paid for these events, [redacted] (b)(7)(A) [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(C) may therefore have held a reasonable belief, though mistaken, that attending the dinner and golf events free of charge was permissible under reference (c).

3. In relation to the substantiated allegations of misconduct, I found that [redacted] (b)(6), (b)(7)(C) accepted the improper gift of a dinner hosted and paid for by Leonard Francis on or about 27 June 2009 in Singapore. [redacted] (b)(6), (b)(7)(C) helped to arrange the event, [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) directly with Leonard Francis. [redacted] (b)(6), (b)(7)(C) was aware that Leonard Francis was paying for the event. The dinner was [redacted] (b)(7)(A) Singapore and was an exceedingly lavish affair, [redacted] (b)(7)(A) [redacted] (b)(7)(A) I determined that none of the exceptions in reference (c) applied and that, as the [redacted] (b)(6), (b)(7)(C) did not hold a reasonable mistake of fact that acceptance of the dinner paid for by a prohibited source was permissible. After this dinner event,

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

[redacted] (b)(6), (b)(7)(C) also accepted an improper gift of attendance [redacted] (b)(7)(A) [redacted] (b)(7)(A) Singapore hosted and paid for by Leonard Francis. Information developed during the course of the investigation shows that Leonard Francis [redacted] (b)(7)(A) [redacted] (b)(7)(A) on that occasion, including in [redacted] (b)(7)(A) [redacted] (b)(7)(A) I found no evidence that [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) accepted the services of a prostitute.

4. I also substantiated that, during [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) accepted food, alcohol, and entertainment [redacted] (b)(7)(A) rented by Leonard Francis for the purpose of entertaining invited Naval Officers.

5. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. My review revealed no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take any action to benefit GDMA. I determined that there are other significant mitigating factors, including:

- a [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)
- b [redacted] (b)(7)(A)
- c

6. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P.S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/136
15 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN, AND
[REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1920.6C

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against the above named officers. Furthermore, there is no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

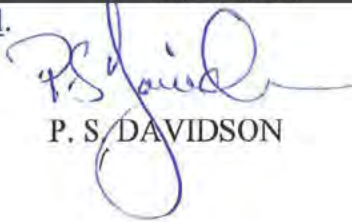
2. I determined that attendance at a dinner hosted by GDMA during a Carrier Strike Group NINE port visit to Hong Kong in December 2004 [REDACTED] (b)(7)(A), (b)(6), (b)(7)(C)
[REDACTED] (b)(7)(A)
[REDACTED] (b)(7)(A) I also determined that there was insufficient evidence that [REDACTED] (b)(6), (b)(7)(C) accepted an impermissible gift of drinks in Singapore in February 2005.

3. Based on all the facts and circumstances known to me for these allegations, I determined that it would be inappropriate to substantiate misconduct against the above named officers.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN, AND
[redacted] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at
[redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/135
 8 Jun 17

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] [redacted]
 [redacted] [redacted] [redacted]
 [redacted] [redacted] AND [redacted]
 [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO CDA Memo dtd 1 Oct 2015
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04
 (e) SECNAVINST 1920.6C

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against the above named officers during a port visit in Malaysia in October 2010 while they were serving in various positions as part of the ABRAHAM LINCOLN (CVN 72) Strike Group during a port visit to Malaysia in October 2010. Furthermore, there is no evidence that any of the above named officers took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined the following: (a) A reception at Port Klang in Malaysia in October 2010 attended by the above named officers, and paid for by GDMA, was a permissible gift of a meal and refreshments in a foreign area; (b) A plaque presented to [redacted] by GDMA at the Port Klang reception was not a gift under the Joint Ethics Regulation as an item of little intrinsic value; (c) A round of golf and lunch attended by [redacted] and [redacted] in Malaysia in October 2010 were not impermissible gifts because there was evidence that the officers paid fair market value for the golf outing and lunch and, alternatively, they were operating under a reasonable mistake of fact that the outing was [redacted] [redacted] and (d) [redacted] [redacted] USN held an honest and reasonable belief that attendance at a dinner in Malaysia in October 2010 was [redacted] [redacted] and accordingly, that it was ethically permissible to attend. I also determined that the officers held a reasonable mistake of fact [redacted] [redacted]

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) USN

3. Based on all the facts and circumstances known to me for these allegations, I determined that it would be inappropriate to substantiate misconduct against the above named officers.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/134
8 Jun 17

From: Commander, United States Fleet Forces Command
To: (b)(6), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that:

(b)(7)(A), (b)(6), (b)(7)(C)

2. This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

3. Please provide any response no later than Friday, 16 June 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/134
8 Jun 17

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel (PERS-00F)

Subj: CONCLUSION OF REVIEW ICO [REDACTED] USN

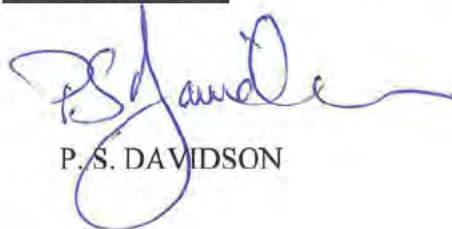
Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [REDACTED] USN, while [REDACTED] served as [REDACTED] for Commander, SEVENTH FLEET during the 2007-2008 timeframe. After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence [REDACTED] attended any of the events paid for by Leonard Francis or GDMA, nor any evidence that [REDACTED] accepted any gifts from Leonard Francis or GDMA. Furthermore, there is no evidence that [REDACTED] took any official action on behalf of or to benefit GDMA.

2. The CDA informs the Chief of Naval Personnel of each case referred by the DoJ and DCIS. [REDACTED] was referred to the CDA on or about 1 June 2017.

3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with [REDACTED] and this GDMA matter.

4. My point of contact for this letter is [REDACTED] may be reached by e-mail at [REDACTED]@navy.mil or telephone at [REDACTED]



P. S. DAVIDSON

Copy to:
VCNO (N09D)
NAVIG
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/133
8 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] USN, while serving as [REDACTED] USS MCCAMPBELL (DDG 85), in 2012. Furthermore, there is no evidence that [REDACTED] took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that, while serving as MCCAMPBELL [REDACTED]

a. Attendance at a dinner hosted by GDMA during a port visit to Kuala Lumpur, Malaysia, in October 2012 by [REDACTED] [REDACTED]
[REDACTED]

b. Receipt of a pewter plaque while serving as [REDACTED] MCCAMPBELL in October 2012 following the Kuala Lumpur dinner was not a gift under the Joint Ethics Regulation, as it was an item of little intrinsic value, and;

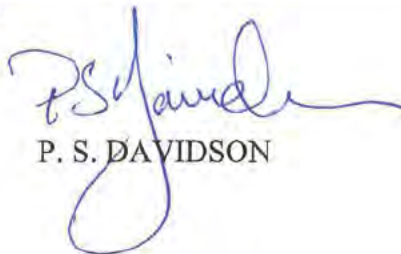
c. Receipt of an engraved humidor and cigars in October 2012 in Manila, Phillipines, did not constitute acceptance of a gift from a prohibited source because, after attempting to return the humidor and cigars to GDMA, [REDACTED] paid the fair market value of both items, in accordance with the provisions of reference (c).

3. Based on all the facts and circumstances known to me for these allegations, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] USN.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/130
8 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1920.6C

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) while he was serving as [REDACTED] (b)(6), (b)(7)(C) in USS MCCAMPBELL (DDG 85) during a port visit to Brisbane, Australia in July 2009. Based on a preponderance of the evidence, I substantiated that [REDACTED] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of two dinners in excess of ethical limits from GDMA, a prohibited source.

2. On 28 July 2009, [REDACTED] (b)(6), (b)(7)(C) attended a dinner [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) along with a GDMA representative and at least two other Navy officers. The representative from GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

3. On 30 July 2009, [REDACTED] (b)(6), (b)(7)(C) attended a dinner [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) along with a GDMA representative, the MCCAMPBELL [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) and two other Navy officers. [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) The representative from GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

4. The above substantiated findings constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. My review revealed no evidence that [REDACTED] (b)(6), (b)(7)(C) was aware, at the time of the events, of the extent and nature of Leonard Francis' criminal activities. I determined that there are other mitigating factors including:

[REDACTED] (b)(7)(A), (b)(6), (b)(7)(C)

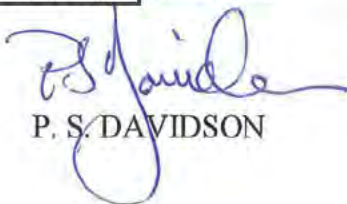
Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) [redacted] USN

c. [redacted] (b)(7)(A)

5. I addressed this matter personally with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the Naval Service.

7. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or by e-mail at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/129
2 Jun 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1920.6C

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] while he was serving as [REDACTED] in USS MCCAMPBELL (DDG 85) during a port visit to Brisbane, Australia in July 2009. Based on a preponderance of the evidence, I substantiated that [REDACTED] wrongfully accepted the improper gift of two dinners, one lunch, and a pair of sunglasses in excess of ethical limits from GDMA, a prohibited source.

2. On 27 July 2009, [REDACTED] attended a dinner [REDACTED] with a GDMA representative. The representative from GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

3. On 28 July 2009, [REDACTED] attended a lunch at a restaurant in Brisbane with a GDMA representative and later received the gift of a pair of sunglasses. The representative from GDMA paid for the meal and the sunglasses. I determined that none of the gift exceptions in reference (c) apply.

4. On 30 July 2009, [REDACTED] attended a dinner [REDACTED] along with a GDMA representative, the MCCAMPBELL [REDACTED] and three other subordinate Navy officers. The representative from GDMA paid for the meal. I determined that none of the gift exceptions in reference (c) apply.

5. The above substantiated findings constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. My review revealed no evidence that [REDACTED] took or was requested

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

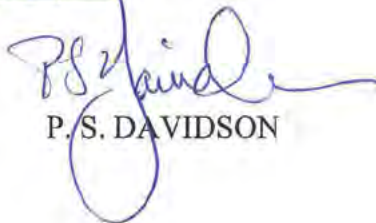
to take any action to benefit GDMA. I determined that there are other significant mitigating factors, including:

- a. [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)
- b. [redacted] (b)(7)(A)
- c. [redacted]

6. I addressed this matter personally with [redacted] (b)(6), (b)(7)(C) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

7. I do not recommend that [redacted] (b)(6), (b)(7)(C) be required to show cause for retention in the Naval Service.

8. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or by e-mail at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNR [redacted] (b)(6), (b)(7)(C)
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/128
2 Jun 17

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command


Subj: CONCLUSION OF REVIEW ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) USN, while he served as the [redacted] (b)(6), (b)(7)(C) for the USS RONALD REAGAN (CVN 76) from August 2008 to June 2010. In particular, I examined circumstances associated with [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) and [redacted] (b)(6), (b)(7)(C) knowledge of a dinner event paid for by Leonard Francis and GDMA attended by various REAGAN [redacted] (b)(6), (b)(7)(C). After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct. [redacted] (b)(6), (b)(7)(C) actions related to [redacted] (b)(6), (b)(7)(C) and [redacted] (b)(6), (b)(7)(C) efforts to [redacted] (b)(6), (b)(7)(C) demonstrated both competence and diligence. In addition, there is no evidence he attended any of the events paid for by Leonard Francis or GDMA, nor [redacted] (b)(6), (b)(7)(C) personally accept any gifts from Leonard Francis or GDMA.

2. The CDA informs Navy Personnel Command (NPC) of each case referred by the DoJ and DCIS. [redacted] (b)(6), (b)(7)(C) was referred to the CDA on or about November 2016 together with approximately forty-six other U.S. Navy members collectively referred to by DoJ and DCIS as "REAGAN Strike Group 2008-2011."

3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with [redacted] (b)(6), (b)(7)(C) and this GDMA matter. My point of contact for this letter is [redacted] (b)(6), (b)(7)(C) may be reached by e-mail at [redacted] (b)(6), (b)(7)(C)@navy.mil or telephone at [redacted] (b)(6), (b)(7)(C).


P. S. DAVIDSON

Copy to:
VCNO (N09D)
NAVIG
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/127
2 Jun 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Commander, SEVENTH Fleet:

(b)(7)(A)

We have also reviewed evidence of other interactions involving GDMA while you were serving as (b)(6), (b)(7)(C) Commander, SEVENTH Fleet; specifically, that you accepted gifts of dinner and drinks in various ports in 2010.

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 09 June 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/125
19 May 2017

From: Commander, United States Fleet Forces Command
To: Commander, Naval Personnel Command (PERS-834)
Via: (1) [redacted] USN
(2) Commander, United States Fleet Forces Command

Subj: REPORT OF MISCONDUCT ICO [redacted] USN

Ref: (a) MILPERSMAN 1611-010
(b) SECNAV CDA Memo dtd 30 Sep 15
(c) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(d) DoD 5500.07-R (The Joint Ethics Regulation)
(e) Uniform Code of Military Justice

Encl: (1) Adverse Information ICO [redacted] USN
(2) DCIS Interviews with [redacted] USN dtd 15 Jan 16 and 19 Jan 16
(3) COMUSFLTFORCOM ltr 5800 ser CDA/104 dtd 27 Mar 17
(4) [redacted] ltr of 7 Apr 17 w/ enclosures

1. Per reference (a), this Report of Misconduct in the case of [redacted] USN, is forwarded for review and action. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (b) and (c), I reviewed evidence of misconduct against [redacted] while he served as [redacted] to Commander, Carrier Strike Group SEVEN onboard the USS RONALD REAGAN (CVN 76) in 2008.

2. On 2 May 2017, I substantiated allegations of misconduct against [redacted] while he served as [redacted] for three violations of the Joint Ethics Regulation, reference (d), for receipt of gifts from a prohibited source and one violation of Article 133, Conduct Unbecoming an Officer [redacted] Uniform Code of Military Justice, reference (e). Enclosure (1) is a report of adverse information regarding [redacted] resulting from that review. Enclosure (2) is the Result of Interview from both of [redacted] interviews with Defense Criminal Investigative Service (DCIS) as part of this investigation. Enclosures (3) and (4) are my letter to, and response from, [redacted] regarding alleged misconduct arising from the DCIS investigation. This matter was not addressed via disciplinary proceedings under reference (d) because the statute of limitations associated with courts-martial or proceedings under Article 15 have expired.

3. After fully reviewing the facts and opinions of this case, I recommend that [redacted] be required to show cause for retention in the naval service on [redacted] character, as shown [redacted]

Subj: REPORT OF MISCONDUCT ICO [redacted] (b)(6), (b)(7)(C) USN

behavior with a prostitute and [redacted] (b)(6), (b)(7)(C) acceptance of gifts and parties from a prohibited source, is not in keeping with the standards expected of a naval officer.

4. By copy hereof, [redacted] (b)(6), (b)(7)(C) is notified, [redacted] (b)(6), (b)(7)(C) might, per reference (a), to submit [redacted] (b)(6), (b)(7)(C) comments, within 10 days of receipt, concerning this report of misconduct and show cause recommendation, which will be included as an adverse matter in [redacted] (b)(6), (b)(7)(C) official records [redacted] (b)(6), (b)(7)(C) comments or declination to make a statement will be reflected in [redacted] (b)(6), (b)(7)(C) endorsement to this letter.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:

CNP (N00L)

Commander, [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/124
19 May 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as the [redacted] (b)(6), (b)(7)(C) to Commander, Carrier Strike Group SEVEN onboard the USS RONALD REAGAN (CVN 76) in 2008. Based on a preponderance of the evidence, I substantiated that [redacted] (b)(6), (b)(7)(C) wrongfully accepted improper gifts of an after party with alcohol and entertainment, the services of a prostitute, and another party with alcohol, food, and karaoke in excess of ethical limits from GDMA via Leonard Francis, a prohibited source. In addition, I found [redacted] (b)(6), (b)(7)(C) conduct in October 2008 to be unbecoming of an officer [redacted] (b)(6), (b)(7)(C) in the Naval service.

2. On or about 19 August 2008, [redacted] (b)(6), (b)(7)(C) attended a dinner that was [redacted] (b)(7)(A) [redacted] (b)(7)(A) I found that [redacted] (b)(6), (b)(7)(C) had a reasonable mistake of fact [redacted] (b)(7)(A) [redacted] (b)(7)(A) and therefore, did not substantiate misconduct related to that single allegation.

3. However, after that dinner on 19 August 2008, [redacted] (b)(6), (b)(7)(C) attended an after party [redacted] (b)(7)(A) in Kuala Lumpur, Malaysia where Mr. Francis/GDMA paid for all costs associated with the after party. In addition, on or about 31 October 2008, [redacted] (b)(6), (b)(7)(C) attended a party that included alcohol and entertainment [redacted] (b)(7)(A) and accepted the services of a prostitute. Mr. Francis/GDMA paid for both the party and the services of the prostitute. Lastly, I found that [redacted] (b)(6), (b)(7)(C) conduct on or about 31 October 2008, at the after party and with the prostitute was unbecoming of an officer [redacted] (b)(6), (b)(7)(C)

4. The above substantiated findings constitute adverse information in accordance with reference (d). There was no evidence that [redacted] (b)(6), (b)(7)(C) took or was requested to take official action to benefit Mr. Francis, or that [redacted] (b)(6), (b)(7)(C) solicited any gift from Mr. Francis. I determined that there are other significant mitigating factors, including:

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

- [redacted] (b)(7)(A)
- [redacted]

5. Additionally, I have recommended that [redacted] (b)(6), (b)(7)(C) show cause for retention in the naval service. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
 VCNO (N09D)
 CNP [redacted]
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/123
 2 Jun 17

From: Commander, United States Fleet Forces Command
 To: Commander, Navy Personnel Command

Subj: CONCLUSION OF REVIEW ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) USN, while [redacted] (b)(6), (b)(7)(C) served as the [redacted] (b)(6), (b)(7)(C) for Carrier Strike Group ELEVEN (CSG 11) aboard USS NIMITZ (CVN 68) during their 2009-2010 deployment. In particular, I examined circumstances associated with [redacted] (b)(6), (b)(7)(C) review of gifts provided to the [redacted] (b)(6), (b)(7)(C) at the end of a port visit to Port Klang, Malaysia and possible attendance at [redacted] (b)(7)(A) [redacted] (b)(7)(A). After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct [redacted] (b)(6), (b)(7)(C) actions related to [redacted] (b)(6), (b)(7)(C) and the appropriate disposition of gifts were exemplary. In addition, there is no evidence [redacted] (b)(6), (b)(7)(C) attended any of the events paid for by Leonard Francis or GDMA, nor [redacted] (b)(6), (b)(7)(C) personally accept any gifts from Leonard Francis or GDMA.

2. The CDA informs Navy Personnel Command (NPC) of each case referred by the DoJ and DCIS. [redacted] (b)(6), (b)(7)(C) was referred to the CDA on or about 24 April 2016 together with approximately forty-one other U.S. Navy members collectively referred to by DoJ and DCIS as "NIMITZ Strike Group 2009-2010."

3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with [redacted] (b)(6), (b)(7)(C) and this GDMA matter. My point of contact for this letter is [redacted] (b)(6), (b)(7)(C) may be reached by e-mail at [redacted] (b)(6), (b)(7)(C)@navy.mil or telephone at [redacted] (b)(6), (b)(7)(C)


 P. S. DAVIDSON

Copy to:
 VCNO (N09D)
 NAVIG
 DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/122
17 May 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) to Commander, Carrier Strike Group SEVEN:

- -
 - (b)(7)(A)
 -
 -
 -
- (b)(6), (b)(7)(C)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Monday, 29 May 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/121
2 May 2017

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command

Subj: CONCLUSION OF REVIEW ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred to the CDA by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) while he served as the [redacted] (b)(6), (b)(7)(C) onboard the USS RONALD REAGAN (CVN 76). In particular, I examined circumstances associated with [redacted] (b)(6), (b)(7)(C) alleged attendance at a [redacted] (b)(6), (b)(7)(A), (b)(7)(C) Dinner and after party hosted by Francis in Singapore on 27 June 2009. After a thorough review, I determined that there is insufficient evidence on which to base any viable allegation of misconduct during [redacted] (b)(6), (b)(7)(C) deployment onboard the REAGAN.
2. The CDA informs Navy Personnel Command (NPC) of each case referred to the CDA by the DoJ and DCIS. [redacted] (b)(6), (b)(7)(C) case was referred to the CDA on 7 November 2016, and the CDA has previously informed NPC that [redacted] (b)(6), (b)(7)(C) was under review.
3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with this GDMA matter. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
NAVIG
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/120
26 Apr 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as the (b)(6), (b)(7)(C) USS NIMITZ and the (b)(6), (b)(7)(C) USS STENNIS:

(b)(7)(A)

There is also additional information that was developed during the GDMA investigation that, while serving as the (b)(6), (b)(7)(C) USS NIMITZ and the (b)(6), (b)(7)(C) USS STENNIS:

- (b)(6), (b)(7)(C)
- (b)(6), (b)(7)(A), (b)(7)(C)

This serves as your opportunity to provide any desired input regarding these allegations or interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than 12 May 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/119
26 Apr 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) Carrier Strike Group ELEVEN:

- (b)(6), (b)(7)(C)
- (b)(6), (b)(7)(C)
- (b)(6), (b)(7)(A), (b)(7)(C)
- (b)(6), (b)(7)(C)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 12 May 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/118
26 Apr 2017

(b)(6), (b)(7)(C) JSN
Sent via email to: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS MCCAMPBELL (DDG 85) 2009 deployment, while serving as (b)(6), (b)(7)(C)

- (b)(7)(A)
-
-

In addition, the CDA Legal Staff has reviewed some evidence that you discussed planned ship movements with GDMA.

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest are the purpose of the dinners and the lunch and the topics of any conversations which occurred there. You are not required to respond to this letter, and any response is strictly voluntary. Should you choose to respond, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 12 May 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)

Sincerely (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/117
24 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) Carrier Strike Group 7 (CSG-7), from June 2008-April 2010. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of fact as to GDMA's involvement in a [redacted] (b)(7)(A) and a reasonable mistake as to the value of the same dinner, believing it to be within ethical acceptance limits. Additionally, I determined that [redacted] (b)(6), (b)(7)(C) was not derelict in [redacted] (b)(6), (b)(7)(C) as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) CSG-7 with regard to [redacted] (b)(6), (b)(7)(C) remedial actions during and after the dinner.

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/116
24 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) USS ABRAHAM LINCOLN (CVN 72), during a port visit to Hong Kong in December 2004. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a dinner hosted by GDMA [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) acted in good faith reliance on that opinion and did not otherwise commit misconduct.

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.

P/S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/115
24 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) USS ABRAHAM LINCOLN (CVN 72), during a port visit to Hong Kong in December 2004. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a dinner hosted by GDMA [redacted] (b)(7)(A) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(7)(A) as he acted in good faith reliance on that opinion and did not otherwise commit misconduct.

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/114
24 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) USS ABRAHAM LINCOLN (CVN 72) during a port visit to Port Klang, Malaysia in October 2010. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a reception at Port Klang in Malaysia in October 2010 by [redacted] (b)(6), (b)(7)(C) and many others, although paid for by GDMA, was a permissible gift of a meal and refreshments in a foreign area.

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/113
24 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) USS ABRAHAM LINCOLN (CVN 72) during a port visit to Port Klang, Malaysia in October 2010. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a reception at Port Klang in Malaysia in October 2010 by [redacted] (b)(6), (b)(7)(C) and many others, although paid for by GDMA, was a permissible gift of a meal and refreshments in a foreign area.

3. The above finding constitutes reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/110
13 Apr 17

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

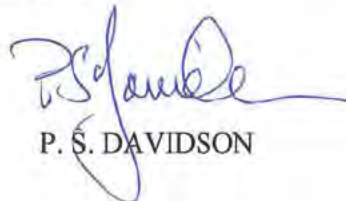
Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) for Commander, Naval Air Forces Pacific, on or about March 2008. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against [redacted] (b)(6), (b)(7)(C). Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that there is insufficient evidence to substantiate that [redacted] (b)(6), (b)(7)(C) accepted an impermissible gift when he attended a dinner and consumed drinks and cigars with a GDMA employee on the occasion of [redacted] (b)(6), (b)(7)(C) in March 2008. I found that this individual [redacted] (b)(6), (b)(7)(C) was someone with whom [redacted] (b)(6), (b)(7)(C) had previously served and with whom he had a long standing personal friendship. I found that [redacted] (b)(6), (b)(7)(C) understood this dinner to be permissible under the exception for accepting a gift based on a personal friendship (5 C.F.R. §2635.204(b)). Further, the evidence did not show that [redacted] (b)(6), (b)(7)(C) was aware that the cost of the dinner would be, at a later date, expensed by that individual to GDMA.

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/109
30 Mar 2017

(b)(6), (b)(7)(C)

USN

Sent via email to:

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that over multiple tours in the Western Pacific:

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-
-
-
-

(b)(7)(A), (b)(6), (b)(7)(C)

In addition, the CDA Legal Staff has reviewed credible evidence that you received all or some of the above gifts in recognition of services rendered or to be rendered, specifically:

-
-

(b)(7)(A),(b)(6), (b)(7)(C)

Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 7 April 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/108
31 Mar 2017

(b)(6), (b)(7)(C)

Sent by email to (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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-
-
-

(b)(7)(A)

We have also reviewed evidence of other interactions involving Mr. Leonard Francis and GDMA while you were serving as (b)(6), (b)(7)(C). Specifically, that you received the following additional gifts from GDMAQ, a prohibited source:

-
-
-

(b)(7)(A)

-
-
-

(b)(7)(A)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 07 April 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/107
28 Mar 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS MCCAMPBELL (DDG 85) 2009 deployment, while serving as (b)(6), (b)(7)(C)

(b)(7)(A), (b)(6), (b)(7)(C)

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest are the names or billets of other officers attending this dinner. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 7 April 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/106
27 Mar 2017

(b)(6), (b)(7)(C)

Sent via email (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) part of the USS ABRAHAM LINCOLN (CVN-72) Strike Group (b)(6), (b)(7)(C) and while serving as (b)(6), (b)(7)(C) part of the USS RONALD REAGAN (CVN 76) Strike Group (b)(6), (b)(7)(C)

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•

•

•

•

(b)(7)(A)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 5 April 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/105
27 Mar 2017

(b)(6), (b)(7)(C)
Sent via email: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76):

(b)(7)(A)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 5 April 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)

Sincerely

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/104
27 Mar 2017

(b)(6), (b)(7)(C)
Sent via email (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as the (b)(6), (b)(7)(C) Carrier Strike Group SEVEN:

-
-
-
-

(b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. You are not required to respond to this letter, and any response is strictly voluntary. Should you choose to respond, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 5 April 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)

Sincerely

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/103
4 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

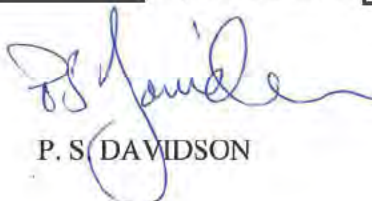
Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) in USS MCCAMPBELL (DDG 85) during a port visit to Brisbane, Australia in July 2009. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that [redacted] (b)(6), (b)(7)(C) accepted a gift of dinner from GDMA [redacted] (b)(7)(A) [redacted] (b)(7)(A). However, I determined he accepted the gift because he reasonably believed the dinner was ethically permissible [redacted] (b)(7)(A) [redacted] (b)(7)(A).

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/102
4 Apr 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICC [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) in USS MCCAMPBELL (DDG 85) during a port visit to Brisbane, Australia in July 2009. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that [redacted] (b)(6), (b)(7)(C) accepted a gift of dinner from GDMA [redacted] (b)(7)(A) [redacted] (b)(7)(A). However, I determined he accepted the gift because he reasonably believed the dinner was ethically permissible [redacted] (b)(7)(A) [redacted] (b)(7)(A).

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/101
27 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) for Commander, Carrier Strike Group NINE during a port visit to Hong Kong in December 2004. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a dinner hosted by GDMA [redacted] (b)(7)(A)
[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/100
 27 Mar 2017

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

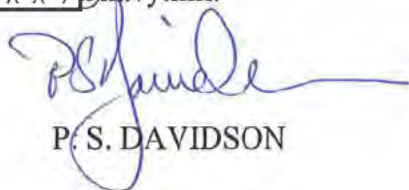
Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as [redacted] (b)(6), (b)(7)(C) Commander, Carrier Strike Group NINE during a port visit to Hong Kong in December 2004. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined that attendance at a dinner hosted by GDMA was [redacted] (b)(7)(A)
 [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


 P. S. DAVIDSON

Copy to:
 VCNO (N09D)
 CNP [redacted]
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/098
27 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1920.6C

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) while he was serving as [redacted] (b)(6), (b)(7)(C) between 2004 and 2005. Based on a preponderance of the evidence, I substantiated that [redacted] (b)(6), (b)(7)(C) wrongfully accepted the improper gift of a dinner and transportation, in excess of ethical limits, in Singapore from Leonard Francis, a prohibited source.

2. In March 2005 [redacted] (b)(7)(A), (b)(6), (b)(7)(C) in Singapore along with Francis and two other senior Navy officers. Mr. Francis paid for the meal, and afterwards transported [redacted] (b)(6), (b)(7)(C) back to [redacted] (b)(6), (b)(7)(C) ship in Francis' Hummer SUV.

3. I also reviewed evidence that [redacted] (b)(6), (b)(7)(C) accepted an improper gift of drinks following the above dinner, and that he improperly endorsed GDMA in a thank-you letter related to the Singapore port visit. I found there was insufficient evidence to substantiate those allegations.

4. The above substantiated finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. My review revealed no evidence that [redacted] (b)(6), (b)(7)(C) took or was asked to take official action to benefit Mr. Francis, or that [redacted] (b)(6), (b)(7)(C) solicited any gift from Mr. Francis. I determined that there are other significant mitigating factors, including:

- [redacted] (b)(7)(A)
-
-
-

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

5. I addressed this personally with [redacted] (b)(6), (b)(7)(C) through counseling and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/97
24 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C) during port visits in Thailand in 2011 and Malaysia in October 2012.

2. In August 2011, [redacted] (b)(6), (b)(7)(C) attended a dinner in Thailand that

[redacted] (b)(7)(A), (b)(6), (b)(7)(C)

3. In October 2012, [redacted] (b)(6), (b)(7)(C) attended a dinner in Malaysia that

[redacted] (b)(7)(A)
[redacted] (b)(7)(A) Additionally, I determined that [redacted] (b)(6), (b)(7)(C) and [redacted] (b)(6), (b)(7)(C) did not violate any ethics rules when they received a pewter mug at this dinner because [redacted] (b)(7)(A)
[redacted] (b)(7)(A)

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/096
20 Mar 2017

(b)(6), (b)(7)(C) USN
Sent via email to: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS MCCAMPBELL (DDG 85) 2009 deployment, while serving as (b)(6), (b)(7)(C)

- -
 -
- (b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest are the purpose of the dinners and the topics of any conversations which occurred there. Of additional interest are details on the (b)(7)(A) (b)(7)(A) You are not required to respond to this letter, and any response is strictly voluntary. Should you choose to respond, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Friday, 31 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/95
17 Mar 2017

(b)(6), (b)(7)(C) USN
(Sent via email to (b)(6), (b)(7)(C))

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS RONALD REAGAN (CVN 77) 2008 and 2009 deployments, while serving as (b)(6), (b)(7)(C) to CSG-7:

- (b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest are: (b)(6), (b)(7)(A), (b)(7)(C)

(b)(7)(A)

Beyond the facts and circumstances of the dinner, there are numerous other allegations of misconduct related to GDMA by other members of the CSG and REAGAN staffs during port visits in 2008 and 2009 that have been referred to us by the Department of Justice for review. The GDMA CDA is interested in (b)(7)(A)

(b)(7)(A)
(b)(7)(A) Any information you are willing to share would be appreciated.

Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 29 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/094
17 Mar 2017

(b)(6), (b)(7)(C) USN

Sent via email to: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS MCCAMPBELL (DDG 85) 2009 deployment, while serving as (b)(6), (b)(7)(C)

(b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. (b)(7)(A)

(b)(7)(A) Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Tuesday, 28 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/093
17 Mar 2017

(b)(6), (b)(7)(C)

Sent via email to:

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS MCCAMPBELL (DDG 85) 2009 deployment, while serving as (b)(6), (b)(7)(C)

•

(b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context.

(b)(7)(A)

(b)(7)(A) Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Tuesday, 28 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/92
17 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT (b)(7)(A)

(b)(7)(A)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against a number of individuals who were in attendance at a dinner hosted by GDMA during a Carrier Strike Group NINE port visit to Hong Kong in December 2004. I determined that a finding of misconduct by the following individuals is not supported by a preponderance of the evidence:

- 1.
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 - 21.
 - 22.
 - 23.
 - 24.
- (b)(6), (b)(7)(C)

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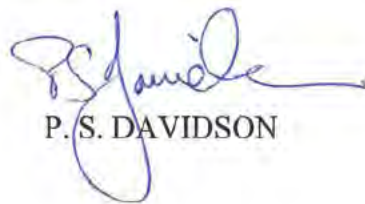
(b)(6), (b)(7)(C)

2. Specifically, I determined that attendance at the event (b)(7)(A)

(b)(7)(A)

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/91
17 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) of the USS CURTIS WILBUR (DDG 54), during port visits to Malaysia in August 2007 and August 2008, and Singapore in September 2007. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C). Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that there is insufficient evidence to substantiate that GDMA provided the following items to [redacted] (b)(6), (b)(7)(C) in violation of reference (c): a free round of golf and discounted hotel room in Kuala Lumpur, Malaysia, in August 2007, a free hotel room in Singapore in September 2007, and a discounted round of golf in Kota Kinabalu, Malaysia, in August 2008.

3. In August 2007, [redacted] (b)(6), (b)(7)(C) attended a dinner in Malaysia [redacted] (b)(7)(A) [redacted] (b)(7)(A). I determined that there was insufficient evidence to substantiate that the value of this dinner exceeded the limits for gifts from foreign governments or meals in foreign areas under reference (c). In addition, [redacted] (b)(6), (b)(7)(A), (b)(7)(C)

[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

5. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/90
17 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) for the USS CURTIS WILBUR (DDG 54), during a port visit to Malaysia in August 2008. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C). Furthermore, there is no evidence that [redacted] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that there is insufficient evidence to substantiate that GDMA provided the following items to [redacted] (b)(6), (b)(7)(C) in violation of reference (c): a discounted hotel room and a discounted round of golf in Kota Kinabalu, Malaysia, in August 2008.

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/89
17 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) while serving as the [REDACTED] (b)(6), (b)(7)(C) of the USS CURTIS WILBUR (DDG 54), during port visits to Malaysia in August 2007 and August 2008. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C). Furthermore, there is no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA.

2. Specifically, I determined that there is insufficient evidence to substantiate that GDMA provided the following items to [REDACTED] (b)(6), (b)(7)(C) in violation of reference (c): a discounted hotel room in Kuala Lumpur, Malaysia, in August 2007 and in Kota Kinabalu, Malaysia, in August 2008, and a discounted round of golf in Kota Kinabalu, Malaysia, in August 2008.

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) or [REDACTED] (b)(6), (b)(7)(C)@navy.mil.

P.S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [REDACTED] (b)(6), (b)(7)(C)
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

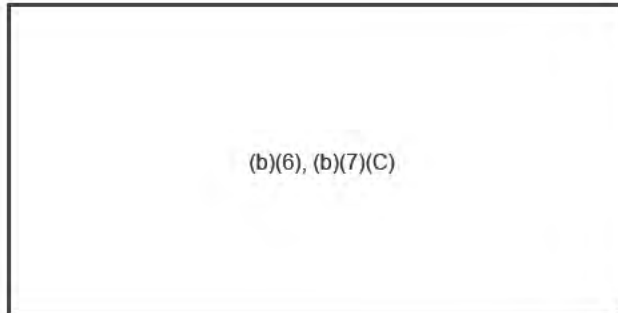
5812
Ser CDA/87
17 Mar 2017

SECOND ENDORSEMENT on COMUSFLTFORCOM ltr 5812 Ser CDA/76 dtd 24 Feb 17

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Personnel Command (N00F)

Subj: NONJUDICIAL PUNISHMENT REPORT ICO RDML ADRIAN J. JANSEN, USN,
XXX-XX (b)(6), (b)(7)(C)

1. Forwarded.



16 Mar 17

FIRST ENDORSEMENT on Report of NJP

From: [redacted] (b)(6), (b)(7)(C) USN, Defense Counsel
[redacted] (b)(6), (b)(7)(C) USN, Defense Counsel
To: Commander, U.S. Fleet Forces Command

Subj: NONJUDICIAL PUNISHMENT REPORT ICO RDML A.J. JANSEN, USN,
XXX-XX [redacted] (b)(6), (b)(7)(C)

Ref: a. Report of NJP

1. Reference (a) has been received. RDML Jansen requests to be allowed to retire at [redacted] (b)(6), (b)(7)(C) current pay-grade, and we believe that consideration of the totality of the circumstances will support that. He has taken responsibility at NJP for what he did, and he will address specific recommended administrative actions individually.

//S//

//S//

[redacted] (b)(6), (b)(7)(C)

[redacted] (b)(6), (b)(7)(C)

Subj: NONJUDICIAL PUNISHMENT REPORT ICO RDML A.J. JANSEN, USN,
XXX-XX (b)(6), (b)(7)(C)

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing was served electronically on trial counsel on 17
March 2017.

//S//

(b)(6), (b)(7)(C)

//S//

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/086
17 Mar 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] while serving as [redacted] in USS RONALD REAGAN (CVN 76) during a port visit to Kuala Lumpur, Malaysia in August 2008. I determined that a finding of misconduct by [redacted] is not supported by a preponderance of the evidence.

2. Specifically, I determined that while [redacted] accepted a gift of transportation and attendance at an open bar hosted at the Bintang Palace in Kuala Lumpur by Leonard Francis, there was insufficient evidence to establish that the value of this gift exceeded the de minimus exception of \$20. Additionally, I determined that there were significant mitigating factors. [redacted] [redacted] [redacted]

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. I recommend that this information not affect [redacted] pending request to retire, which is unrelated to the GDMA matter. My point of contact for this matter is [redacted] may be reached at [redacted] or [redacted]@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/085
15 Mar 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed evidence that, while serving as (b)(6), (b)(7)(C) in December 2004, you attended (b)(7)(A) event hosted by Mr. Leonard Francis and GDMA for Carrier Strike Group NINE and USS ABRAHAM LINCOLN. In your statement to investigators, (b)(7)(A)

(b)(7)(A)

(b)(7)(A) The Staff is also interested in whether you recall any other remedial actions taken after the 26 December (b)(7)(A) event.

Should you desire, you may also provide any comments, additional information, or necessary context related to your 26 August 2015 interview with NCIS.

Please provide any response no later than Monday, 27 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

Sincerely,

Signed copy mailed on 3/17/17

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CD 084
13 Mar 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76):

-
-
-
-

(b)(6), (b)(7)(C)

We have also reviewed evidence of other interactions involving Mr. Leonard Francis and GDMA while you were serving as (b)(6), (b)(7)(C) of the REAGAN. Specifically, that you received the following gifts from GDMA, a prohibited source:

-
-
-
-
-

(b)(7)(A)

- [Redacted] (b)(7)(A)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 22 March 2017. Should you have any questions or need additional time, please contact [Redacted] (b)(6), (b)(7)(C) at [Redacted] (b)(6), (b)(7)(C) or [Redacted] (b)(6), (b)(7)(C)@navy.mil.

[Redacted] (b)(6), (b)(7)(C)

Sincerely,

[Redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/083
13 Mar 2017

[Redacted]
Sent via email: [Redacted]

Dear [Redacted]

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as the [Redacted] USS REONALD REAGAN (CVN 76):

- [Redacted]

We have also reviewed evidence of another interaction involving Mr. Leonard Francis and GDMA from 27 June 2009 to 23 September 2009:

- [Redacted] (b)(7)(A)

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusion on the matters listed above.

Please provide any response no later than Wednesday, 22 March 2017. Should you have any questions or need additional time, please contact [Redacted] at [Redacted] or [Redacted]@navy.mil.

Sincerely,

[Redacted]
(b)(6), (b)(7)(C)

[Redacted]
(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/082
 13 Mar 2017

(b)(6), (b)(7)(C)

Sent via email: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C) USS RONALD REAGAN (CVN 76):

- (b)(7)(A)

We have also reviewed evidence of other interactions involving Mr. Leonard Francis and GDMA while you were serving as (b)(6), (b)(7)(C) of the REAGAN:

- (b)(7)(A)
-

This serves as your opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusions about the matters listed above.

Please provide any response no later than Wednesday, 22 March 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/81
10 Mar 2017

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

- (b)(7)(A)

The CDA Legal Staff has also reviewed credible evidence that, while serving as (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

- (b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Tuesday, 21 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C)@navy.mil.

Sincerely

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/080
10 Mar 2017

[redacted] USN

Dear [redacted]

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS ABRAHAM LINCOLN (CVN 72) 2004-05 deployment, while serving as [redacted]

- [redacted]
- [redacted]

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest is the [redacted]

[redacted]

Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Tuesday, 21 March 2017. Should you have any questions or need additional time, please contact me at [redacted] or

[redacted]@navy.mil.

Sincerely,

[redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/079
10 Mar 2017

(b)(6), (b)(7)(C) USN

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS ABRAHAM LINCOLN (CVN 72) 2004-05 deployment, while serving as (b)(6), (b)(7)(C) to CSG-9:

- (b)(7)(A)
- (b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. Of particular interest are (b)(7)(A)

(b)(7)(A)

(b)(7)(A) Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Tuesday, 21 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C)@navy.mil.

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/78
10 Mar 2017

(b)(6), (b)(7)(C) USN
Sent via email: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that during the USS ABRAHAM LINCOLN (CVN 72) 2004-05 deployment, while serving as (b)(6), (b)(7)(C) on CSG-9:

- (b)(7)(A)

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

In addition, the GDMA CDA Legal Staff has reviewed credible evidence that on or about 26 December 2004, Mr. Francis provided (b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C) will be considered before reaching any final conclusions.

Please provide any response no later than Tuesday, 21 March 2017. Should you have any questions or need additional time, please contact me at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5812
Ser CDA/76
24 Feb 2017

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Personnel Command (N00F)
Via: (1) Rear Admiral Adrian J. Jansen, USN
(2) Commander, U.S. Fleet Forces Command

Subj: NONJUDICIAL PUNISHMENT REPORT ICO RDML ADRIAN J. JANSEN, USN,
XXX-XX (b)(6), (b)(7)(C)

Ref: (a) MILPERSMAN 1611-010
(b) DoD Manual 1348.33, Volume 4

Encl: (1) Report and Disposition of Offense (NAVPERS 1626/7) w/ enclosures
(2) RDML Jansen, USN, ltr of 13 Feb 2017
(3) Summary of NJP proceedings
(4) Commander, USFFC ltr of 17 Feb 2017 and RDML Jansen Endorsement
(5) RDML Jansen Voluntary Retirement Request of 13 Feb 2017
(6) Military Awards Order Number 100-21 dtd 10 Apr 2013

1. Per reference (a), this Report of Misconduct in the case of RDML Adrian Jansen is forwarded for review and action. On 10 February 2017, nonjudicial punishment (NJP) was imposed on RDML Jansen for violation of Uniform Code of Military Justice Article 92, three specifications of a violation of a lawful order and two specifications of dereliction of duty. Enclosure (1) contains the report and disposition of offenses from the proceedings, the pre-mast procedural documents, including the agreement related to accepting NJP and waiving the statute of limitations and information considered by me at Admiral's Mast, including submissions by RDML Jansen. Enclosure (3) is a summary of the NJP proceedings. Enclosure (4) is the punitive letter of reprimand that was issued to RDML Jansen as a result of Admiral's Mast, along with RDML Jansen's acknowledgement of receipt of the punitive letter and his desire not to submit a statement. Enclosure (5) is RDML Jansen's Voluntary Retirement request of 13 Feb 2017. Enclosure (6) is RDML Jansen's end of tour award for the time period covered by the offenses adjudicated at Admiral's Mast.

2. Pursuant to a written agreement, RDML Jansen waived the statute of limitations applicable to NJP proceedings and accepted NJP. At Admiral's Mast, in accordance with the agreement, RDML Jansen pled guilty to the charge and four specifications of violations of Article 92 (three orders violations related to the Joint Ethics Regulations and one dereliction of duty). I found RDML Jansen guilty of the remaining specification of negligent dereliction of duty at mast, and found RDML Jansen guilty of all others in accordance with his pleas. I awarded him a punitive letter of reprimand and forfeiture of \$3,750.00 pay per month for two months. By his actions, RDML Jansen has shown his character is not in keeping with the standards expected of a naval officer.

Subj: NONJUDICIAL PUNISHMENT REPORT ICO RDML ADRIAN JANSEN, USN,
XXX-XX-0913/1710

3. Details of the hearing and the circumstances of the offenses are set forth in enclosure (3). A copy of the punitive letter of reprimand and RDML Jansen acknowledgement of receipt of his punitive letter of reprimand and intent to not make a statement in response is attached as enclosure (4).
4. Pursuant to his agreement regarding these proceedings, RDML Jansen did not appeal his nonjudicial punishment. Accordingly, nonjudicial punishment is now final and shall be reflected in his fitness report that covers the date it was imposed.
5. After fully reviewing the facts of this case, the following administrative actions are recommended:
 - a. I recommend that RDML Jansen's End of Tour Award for the time period of the offenses, contained at enclosure (6), be revoked in accordance with reference (b).
 - b. I recommend that RDML Jansen's continued eligibility for a security clearance be adjudicated by the Department of Defense Central Adjudication Facility (DoD CAF) in light of the misconduct adjudicated at Admiral's Mast.
 - c. I do not recommend that RDML Jansen be required to show cause for retention as RDML Jansen has submitted a voluntary retirement request at enclosure (5) in accordance with his agreement related to these proceedings. I recommend that he be allowed to retire at the earliest opportunity and I recommend retirement in the grade of O-6.
6. By copy hereof, RDML Jansen is notified of his right, per reference (a), to submit his comments, within 10 days of receipt, concerning this report of nonjudicial punishment and letter of reprimand, which will be included as an adverse matter in his official record. His comments or declination to make a statement will be reflected in his endorsement to this letter.

7. Point of contact for this matter is my [redacted] (b)(6), (b)(7)(C) NOIL, at [redacted] (b)(6), (b)(7)(C) @navy.mil [redacted] (b)(6), (b)(7)(C)



P. S. DAVIDSON

Copy to:
DoD CAF w/ NAVPERS 1626/7
CNIC (N00J) w/o encl
Director, Defense Intelligence Agency
Attn: Military Branch Awards w/ NAVPERS 1626/7 and enclosure 6



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/075
24 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C) during port visits in Thailand in 2011 and Malaysia in October 2012.

2. In August 2011, [redacted] (b)(6), (b)(7)(C) attended a dinner in Thailand that [redacted] (b)(7)(A)
[redacted] (b)(6), (b)(7)(A), (b)(7)(C)

3. In October 2012, [redacted] (b)(6), (b)(7)(C) attended a dinner in Malaysia that was [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(A), (b)(7)(C)
[redacted] (b)(7)(A) Additionally, I determined that [redacted] (b)(6), (b)(7)(C) did not violate any ethics rules when he received a pewter mug at this dinner because [redacted] (b)(7)(A)
[redacted] (b)(7)(A)

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/074
24 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) while serving as the (b)(6), (b)(7)(C) from 2008-2010 and as (b)(6), (b)(7)(C) (b)(7)(A) from 2010-2012 during port visits to Hong Kong in October 2009 and Thailand in May 2012. I determined that a finding of misconduct by (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined the following: (a) (b)(6), (b)(7)(C) was operating under a reasonable mistake of fact when he received a discounted rate for a hotel stay in Hong Kong (b)(7)(A) and (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) (b)(7)(A) (b) There is insufficient evidence to find that (b)(6), (b)(7)(C) received champagne and flowers provided by GDMA; (c) A suit purchased by (b)(6), (b)(7)(C) in Thailand was not a gift because there is insufficient evidence to find that GDMA subsidized the cost of the suit; and (d) (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) did not accept the gift of an elephant vase in Thailand on behalf (b)(6), (b)(7)(C) and instead returned it to GDMA through (b)(6), (b)(7)(C)

3. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received. My point of contact for this matter is (b)(6), (b)(7)(C) USN.

(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

[Handwritten signature]
P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/073
17 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) USS ABRAHAM LINCOLN (CVN 72) during a port visit to Port Klang, Malaysia in October 2010. I determined that a finding of misconduct by [redacted] (b)(6), (b)(7)(C) is not supported by a preponderance of the evidence.

2. Specifically, I determined the following: (a) A reception at Port Klang in Malaysia in October 2010 attended by [redacted] (b)(6), (b)(7)(C) and many others, and paid for by GDMA, was a permissible gift of a meal and refreshments in a foreign area; (b) A coin and plaque presented to [redacted] (b)(6), (b)(7)(C) by GDMA at the Port Klang reception were not gifts under the Joint Ethics Regulation as items of little intrinsic value; (c) A round of golf and lunch attended by [redacted] (b)(6), (b)(7)(C) in Malaysia in October 2010 were not gifts because he paid fair market value for them; (d) [redacted] (b)(6), (b)(7)(C) was operating under a reasonable mistake of fact when he attended a dinner in October 2010 [redacted] (b)(7)(A) [redacted] (b)(7)(A) and (e) [redacted] (b)(6), (b)(7)(C) retained the gift of a model schooner based on legal advice he received from [redacted] (b)(6), (b)(7)(C) Staff Judge Advocate, nor was there any evidence the gift exceeded a value of \$20.

6. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]

Pages 92 through 93 redacted for the following reasons:

(b)(6), (b)(7)(C)
(b)(6), (b)(7)(c)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/072
17 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1650.1H
(f) ALNAV 080/14
(g) SECNAV M 5510.30

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against then-Captain Adrian Jansen, while he was serving as Naval Attaché to Indonesia between 2010 and 2013. Based on a preponderance of evidence, I substantiated that RDML Jansen wrongfully:

a. Violated the Joint Ethics Regulation as implemented by the Department of Defense, a lawful order, when he accepted gifts from Leonard Francis and GDMA, a prohibited source, on 7 December 2011, 20 October 2012 and 19 June 2013;

b. Was negligently derelict in the performance of his duties for failing to report Leonard Francis as a foreign contact to appropriate officials; and

c. Was willfully derelict in the performance of his duties when he failed to report gifts provided by Leonard Francis and GDMA, a foreign contact.

2. Between 2010 and 2013, RDML Jansen accepted gifts in the form of lavish dinners paid for by GDMA and Leonard Francis. The total value of the meals was in excess of \$5000.00 and none of the gift exceptions in reference (c) applied. In addition, at a private "farewell luncheon" hosted by Leonard Francis, RDML Jansen was provided gifts in the form of expensive bottles of wine and none of the gift exceptions in reference (c) applied.

3. As a Naval Attaché assigned to the Defense Intelligence Agency, RDML Jansen had a duty to report to appropriate security officials his engagements with Leonard Francis and the offering and accepting of gifts by a foreign national. RDML Jansen did not report any of his interactions with Leonard Francis, or the offering and accepting of the gifts referenced above, at any time.

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN


4. RDML Jansen was in contact with, and socialized directly with, Mr. Francis on numerous occasions. Overall, RDML Jansen failed to live up the standards expected of an officer of his rank and experience during his interactions with Mr. Francis and GDMA.

5. The above findings constitute adverse information in accordance with reference (d). I addressed these issues with RDML Jansen at Admiral's Mast and imposed nonjudicial punishment in the form of a punitive letter of reprimand and forfeiture of three thousand seven hundred and fifty dollars per month for two months (total forfeitures of \$7,500.00). My findings were in accordance with RDML Jansen's pleas of guilty to three specifications of orders violations implementing the Joint Ethics Regulation within the Department of Defense and one specification of willful dereliction of duty for failure to report the gifts. My finding related to the negligent dereliction of duty for failing to report Leonard Francis as a foreign contact was contrary to RDML Jansen's plea at Admiral's Mast.

6. By copy of this letter, I am recommending that RDML Jansen's current command suspend his access to classified information in accordance with reference (g). In addition, I have referred evidence to the DoD Central Adjudication Facility for any action deemed appropriate related to RDML Jansen's security clearance.

7. In accordance with references (e) and (f), via separate correspondence, I am recommending that the Secretary of the Navy revoke RDML Jansen's end of tour award for his assignment as the Naval Attaché to Indonesia during which these offenses took place.

8. I considered all potential and appropriate remedies consistent with the evidence and findings of fact including restitution and reimbursement. The punishment imposed at Admiral's Mast appropriately addresses the benefits that RDML Jansen obtained as a result of his misconduct. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]
CNIC (N00J)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/070
17 Feb 2017

From: Commander, United States Fleet Forces Command
To: Commander, Navy Personnel Command

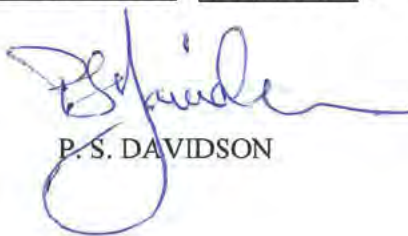
Subj: CONCLUSION OF REVIEW ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred to the CDA by the Department of Justice (DoJ) and Defense Criminal Investigative Service (DCIS) regarding [redacted] (b)(6), (b)(7)(C) while he served in USS ABRAHAM LINCOLN (CVN 72). In particular, I examined circumstances associated with [redacted] (b)(6), (b)(7)(C) official travel as part of an advance party to Laem Chabang, Thailand, a port serviced by GDMA in March 2006. After a thorough review, I determined that there is no evidence on which to base any viable allegation of misconduct during this travel.

2. The CDA informs Navy Personnel Command (NPC) of each case referred to the CDA by the DoJ and DCIS. [redacted] (b)(6), (b)(7)(C) case was referred to the CDA on 15 November 2016, and the CDA informed NPC that [redacted] (b)(6), (b)(7)(C) was under review on 5 December 2016.

3. I recommend that Navy Personnel Command remove any promotion holds, delays, or other administrative actions associated with this GDMA matter. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
DODIG [redacted] (b)(6), (b)(7)(C)
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/069
8 Feb 2017

(b)(6), (b)(7)(C) USN
Sent via email: (b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence involving (b)(6), (b)(7)(C) that between on or about 28 October 2009 to 1 November 2009, while he was serving as the (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

- (b)(6), (b)(7)(A), (b)(7)(C)

This is (b)(6), (b)(7)(C) opportunity to provide comments, additional information, or necessary context. Should (b)(6), (b)(7)(C) choose to respond to this letter, the GDMA CDA will consider (b)(6), (b)(7)(C) response before reaching any final conclusions about the matter listed above.

We have also reviewed evidence of other interactions between (b)(6), (b)(7)(C) and Mr. Leonard Francis and GDMA from October 2009 to May 2012:

- (b)(6), (b)(7)(A), (b)(7)(C)
-
-

This also serves as (b)(6), (b)(7)(C) opportunity to provide any desired input regarding these interactions. Any comments, additional information, or necessary context for these interactions will be considered by the GDMA CDA before reaching any final conclusion.

Please provide any response no later than Wednesday, 15 February 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/68
3 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] while serving as the [REDACTED] USS ABRAHAM LINCOLN (CVN-72) during a port visit to Thailand in September 2008. I determined that a preponderance of the evidence does not substantiate an allegation of misconduct against [REDACTED]

2. I determined that there was insufficient evidence to substantiate that [REDACTED] attended a dinner event in Thailand on 14 September 2008 with a market value in excess of ethical limits that was paid for by GDMA, a defense contractor and prohibited source.

3. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [REDACTED] may be reached at [REDACTED] or [REDACTED]@navy.mil.


P.S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [REDACTED]
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/67
 3 Feb 2017

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C) USN: [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) and [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against the above named officers during a port visit in Malaysia in October 2012.

2. In October 2012, the above named officers attended a dinner in Malaysia that [redacted] (b)(7)(A)
 [redacted] (b)(7)(A)

3. I determined that the above named officers [redacted] (b)(7)(A)
 [redacted] (b)(7)(A) Additionally, I determined that the above named officers did not violate any ethics rules [redacted] (b)(7)(A)
 [redacted] (b)(7)(A)

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.

P.S. DAVIDSON

Copy to:
 VCNO (N09D)
 CNP [redacted]
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/66
 3 Feb 2017

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) during a port visit to Thailand in February 2010 and as [redacted] (b)(6), (b)(7)(C) USS GEORGE WASHINGTON (CVN 73) during a port visit to Malaysia in October 2012. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C)

2. I determined that there was insufficient evidence to substantiate that [redacted] (b)(6), (b)(7)(C) attended a dinner event in Thailand in February 2010 with a market value in excess of ethical limits that was paid for by GDMA, a defense contractor and prohibited source.

3. In October 2012, the [redacted] (b)(6), (b)(7)(C) attended a dinner in Malaysia that [redacted] (b)(7)(A)
 [redacted] (b)(7)(A)

4. I determined that [redacted] (b)(6), (b)(7)(A), (b)(7)(C)
 [redacted] (b)(7)(A)
 [redacted] (b)(7)(A) Additionally, I determined that [redacted] (b)(6), (b)(7)(C) did not violate the ethics rules when he received a plaque from GDMA at the dinner because he held a reasonable mistake of fact that the plaque was [redacted] (b)(7)(A) Further, the plaque was of little intrinsic value and was therefore excluded from the definition of a gift. Finally, I determined that in 2012 [redacted] (b)(6), (b)(7)(C) did not accept a gift of cigars from GDMA. He immediately gave the gift to the [redacted] (b)(6), (b)(7)(C) who determined that the cigars could not be accepted and the cigars were disposed of.

5. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
 VCNO (N09D)
 CNP [redacted]
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS [redacted] 000 01



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/65
20 Jan 16

From: Commander, United States Fleet Forces Command
To: Director, Navy Criminal Investigative Service

Subj: RECOMMENDATION ICO [redacted] NCIS

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 ser N09D/16U112936 of 29 Aug 16
(c) DoD 5500.07-R (The Joint Ethics Regulation)

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a) and (b), I am charged with conducting the initial investigation of all implicated Department of the Navy personnel associated with the GDMA investigation. Per that direction, I am forwarding factual findings to you related to Naval Criminal Investigative Service (NCIS) [redacted] for disposition as you deem appropriate. As the CDA, I reviewed evidence of potential misconduct against SA [redacted] committed while he was the [redacted] SEVENTH Fleet (C7F) from 2004-2007 including, but not limited to, the improper disclosure of ship movements.

2. My point of contact is [redacted] who may be reached at [redacted] or [redacted]@navy.mil.

P. S. DAVIDSON

Copy to:
[redacted] NCIS Office of General Counsel



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/64
11 Jan 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

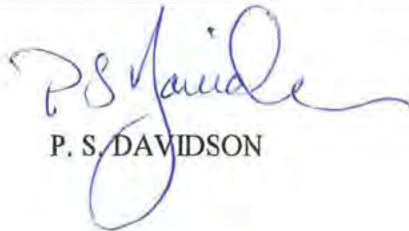
1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(7)(A) Carrier Air Wing TWO (CVW-2), during port visits to Thailand in April 2006 and September 2008. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C)

2. I determined that there was insufficient evidence to substantiate that [redacted] (b)(6), (b)(7)(C) violated reference (c) when he received a gift, specifically a wooden elephant sculpture of unknown value, in April 2006 that was paid for by GDMA, a defense contractor and prohibited source.

3. I further determined that there was insufficient evidence to substantiate that [redacted] (b)(6), (b)(7)(C) attended a dinner event in Thailand on 14 September 2008 with a market value in excess of ethical limits that was paid for by GDMA, a defense contractor and prohibited source.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/63
 6 Jan 17

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) AND [redacted] (b)(6), (b)(7)(C)
 [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

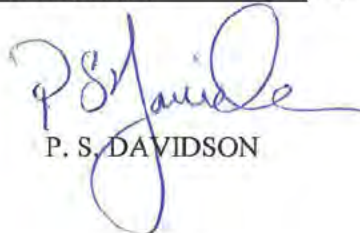
1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against the above named officers during a port visit in Malaysia in February 2000.

2. On or about 19 February 2000, the above named officers attended a dinner in Malaysia that was hosted and paid for by GDMA, a prohibited source. The named officers [redacted] (b)(6), (b)(7)(C) present and [redacted] (b)(6), (b)(7)(C) The preponderance of the evidence did not support a violation of reference (c).

3. Based on all the facts and circumstances known to me for this allegation, I determined the above named officers held an honest and reasonable belief that attendance at the dinner [redacted] (b)(7)(A) [redacted] (b)(7)(A) and accordingly, that it was ethically permissible to attend. As such, I determined that it would be inappropriate to substantiate misconduct against the named officers.

4. The above findings constitute reportable information in accordance with reference (d).

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


 P. S. DAVIDSON

Copy to:
 VCNO (N09BL)
 CNP
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/62
6 Jan 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICC [redacted] (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) while serving as the [redacted] (b)(6), (b)(7)(C) of USS NIMITZ (CVN 68), during port visits to Singapore in September and October 2003. I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [redacted] (b)(6), (b)(7)(C)

2. On or about September and October 2003, [redacted] (b)(6), (b)(7)(C) attended two dinner events in Singapore with market values in excess of ethical limits that were paid for by GDMA, a defense contractor and prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to the dinner events.

3. Based on all the facts and circumstances known to me about this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinners was [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for him to attend. In addition, I determined that the provision of a letter of appreciation from [redacted] (b)(6), (b)(7)(C) did not amount to an improper endorsement under ref (c).

4. Finally, I determined that there was not sufficient evidence to substantiate that Leonard Francis provided an ornamental knife to [redacted] (b)(6), (b)(7)(C) after the September 2003 dinner.

5. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 260
NORFOLK VA 23551-2487

5800
Ser CDA/61
28 Dec 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that between on or about 2 October 2004 and 11 October 2006, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- (b)(6), (b)(7)(A), (b)(7)(C)
- (b)(6), (b)(7)(C)
- (b)(6), (b)(7)(C)

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 12 January 2017. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/60
19 Dec 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C) USN, AND (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against the above named officers during a port visit in Thailand in September 2006.

2. On or about 3 September 2006, the above named officers attended a dinner in Thailand that was

(b)(7)(A)
(b)(7)(A) The preponderance of the evidence did not support a violation of reference (c).

3. Based on all the facts and circumstances known to me for this allegation, I determined the above named officers held an honest and reasonable belief that attendance at the dinner was (b)(7)(A)

(b)(7)(A) and accordingly, that it was ethically permissible to attend. I also determined that the officers held a reasonable mistake of fact (b)(7)(A)
(b)(7)(A)

(b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against the named officers.

4. The above findings constitute reportable information in accordance with reference (d).

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/59
19 Dec 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

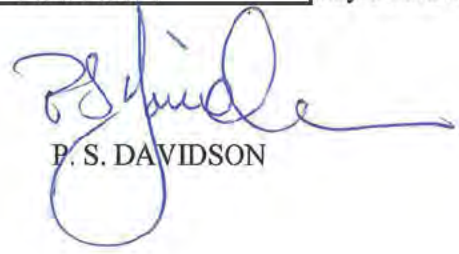
1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as the [redacted] (b)(6), (b)(7)(C) CVW-5, during a port visit in Thailand in September 2006.

2. On or about 3 September 2006, [redacted] (b)(6), (b)(7)(C) attended a dinner in Thailand that was [redacted] (b)(7)(A). [redacted] (b)(7)(A) The preponderance of the evidence did not support a violation of reference (c).

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinner was [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for him to attend. I also determined that [redacted] (b)(6), (b)(7)(C) held a reasonable mistake of the fact that GDMA hosted and paid for the dinner [redacted] (b)(7)(A). [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C).

4. The above findings constitute reportable information in accordance with reference (d).

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/58
19 Dec 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] USN, while serving as the [redacted] CVW-5, during a port visit in Thailand in September 2006.

2. On or about 3 September 2006, [redacted] attended a dinner in Thailand that was [redacted]. The preponderance of the evidence did not support a violation of reference (c).

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] held an honest and reasonable belief that [redacted] attendance at the dinner [redacted] and accordingly, that it was ethically permissible for him to attend. I also determined that [redacted] held a reasonable mistake of the fact that GDMA hosted and paid for the dinner due to the [redacted]. As such, I determined that it would be inappropriate to substantiate misconduct against [redacted].

4. The above findings constitute reportable information in accordance with reference (d).

5. My point of contact for this matter is [redacted] may be reached at [redacted] or [redacted]@navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted]
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/57
14 Dec 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as the [redacted] (b)(6), (b)(7)(C) of the [redacted] (b)(6), (b)(7)(C) during a port visit in Singapore in 2007.

2. On or about 29 August 2007, [redacted] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with an estimated market value of \$1,096 (US Dollars) that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinner [redacted] (b)(7)(A) [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for him to attend. As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [redacted] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with the 29 August 2007 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) for [redacted] (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/56
14 Dec 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as the [redacted] (b)(7)(A) Destroyer Squadron 23 (COMDESRON 23), during a port visit in Singapore in 2007.

2. On or about 29 August 2007, [redacted] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with an estimated market value of \$1,096 (US Dollars) that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinner [redacted] (b)(7)(A) [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for him to attend. As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [redacted] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with the 29 August 2007 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/52
16 Nov 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that on or about September 2003, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You received an item of monetary value from GDMA, a prohibited source; specifically, a pewter "Kris", with a market value in excess of ethical limits, which you received in Singapore.

Based on our review of information provided by the Defense Criminal Investigative Service (DCIS), we believe that a *Kris* is a knife and that this item was one of the "mementos" that you referenced in an email to Leonard Francis on or about 29 September 2003. This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 1 December 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/016
20 May 2016

FIRST ENDORSEMENT on NJP Report ltr 1621 Ser CDA/010 of 4 May 2016

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-834)

Subj: NONJUDICIAL PUNISHMENT REPORT ICO CAPT [REDACTED] USN XXX-
XX [REDACTED]

Encl: (6) Acknowledgement of Receipt of NJP Report

1. Forwarded. The officer did not provide comment or endorsement to the basic correspondence (see enclosure (6)).

[REDACTED]
(b)(6), (b)(7)(C)

By direction

Copy to:

[REDACTED]
(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

1621
Ser CDA/010
4 May 2016

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-834)
Via: (1) (b)(6), (b)(7)(C)
(2) Commander, U.S. Fleet Forces Command

Subj: NONJUDICIAL PUNISHMENT REPORT ICO (b)(6), (b)(7)(C) USN, XXX-
XX (b)(6), (b)(7)(C)

Ref: (a) MILPERSMAN 1611-010

Encl: (1) Report and Disposition of Offense (NAVPERS 1626/7)
(2) Pre-Mast Procedural Documents—JAGMAN 0109 and Art 31b Rights
(3) Commander, U.S. Fleet Forces Command ltr of 25 April 2016
(4) (b)(6), (b)(7)(C) USN, ltr of 2 May 2016
(5) Defense Counsel Memo and Documents in Support of (b)(6), (b)(7)(C)

1. Per reference (a), this Report of Misconduct in the case of (b)(6), (b)(7)(C) is forwarded for review and action. On 22 April 2016 nonjudicial punishment was imposed on (b)(6), (b)(7)(C) for violation of Uniform Code of Military Justice Article 92, dereliction of duty, and 133, conduct unbecoming an officer. (b)(6), (b)(7)(C) Enclosure (1) is the report and disposition of offenses from the proceedings. Enclosure (2) includes the pre-mast procedural documents. Enclosure (3) is the punitive letter of reprimand that was issued to (b)(6), (b)(7)(C) as a result of Admiral's Mast. Enclosure (4) is (b)(6), (b)(7)(C) response to the punitive letter. Enclosure (5) is a memo with enclosures from (b)(6), (b)(7)(C) defense counsel that was submitted for Admiral's Mast and shall be considered with this report.

2. At Admiral's Mast, (b)(6), (b)(7)(C) pled guilty to all charges and specifications. I dismissed one specification of Article 92 at mast, and found (b)(6), (b)(7)(C) guilty of all others in accordance with (b)(6), (b)(7)(C) plea. I awarded him a punitive letter of reprimand and forfeitures of ½ month's pay for 1 month.

3. (b)(6), (b)(7)(C) did not appeal (b)(6), (b)(7)(C) nonjudicial punishment. Accordingly, nonjudicial punishment is now final and shall be reflected in (b)(6), (b)(7)(C) fitness report that covers the date it was imposed.

4. After fully reviewing the facts of this case, the following administrative actions are requested or recommended:

a. I do not request (b)(6), (b)(7)(C) be detached for cause.

Subj: NONJUDICIAL PUNISHMENT REPORT ICO [redacted] USN, XXX-
XX [redacted]

b [redacted] is not on a promotion list.

c. [redacted] was held past [redacted] statutory retirement date to allow consideration and disposition of these matters. [redacted] could be allowed to retire at the earliest opportunity in [redacted] current grade of [redacted].

5. By copy hereof, [redacted] is notified of [redacted] right, per reference (a), to submit [redacted] comments, within 10 days of receipt, concerning this report of nonjudicial punishment and letter of reprimand, which will be included as an adverse matter in [redacted] official record. [redacted] comments or declination to make a statement will be reflected in [redacted] endorsement to this letter.

6. Point of contact for this matter is my [redacted] at [redacted]@navy.mil, [redacted]


P. S. DAVIDSON

Copy to:
SPAWAR FRD [redacted]

Pages 116 through 117 redacted for the following reasons:

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1240 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

1426
Ser 08B-MP/0032
23 Mar 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: President, Promotion Review Board
Via: (b)(6), (b)(7)(C) USN
Subj: LETTER OF SUPPORT ICO (b)(6), (b)(7)(C) USN

1. I am submitting this letter to provide the Board my perspective on the events associated with (b)(6), (b)(7)(C) and Glenn Defense Marine-Asia (GDMA), a government contractor. As the Consolidated Disposition Authority (CDA) appointed by the Navy to review and adjudicate cases arising from the ongoing Department of Justice investigation into GDMA, I had the opportunity to evaluate, in detail, (b)(6), (b)(7)(C) interaction with GDMA and am uniquely situated to comment on these facts and circumstances. My assessment is that (b)(6), (b)(7)(C) is a professional officer with tremendous potential for continued and future contributions to our Navy and our Nation. I strongly recommend (b)(6), (b)(7)(C) promotion to (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

2. (b)(6), (b)(7)(C) case was referred to the CDA as part of a larger inquiry into senior officer interaction with GDMA. (b)(6), (b)(7)(C) came to the attention of the CDA by virtue of the 2006 Deployment of the USS RONALD REAGAN Strike Group (RRSG). During that deployment, (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) The CDA review found that (b)(6), (b)(7)(C) attended two expensive dinner parties hosted by GDMA. As a result of my findings, I took an appropriate non-punitive administrative action within my authority as CDA in order to inform and educate (b)(6), (b)(7)(C) about the risks associated with interactions with defense contractors. It was never my intent to prevent (b)(6), (b)(7)(C) promotion. In fact, from my investigations and subsequent interactions with (b)(6), (b)(7)(C) I have every confidence that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) has learned from this experience and will be a better leader as a result.

Subj: LETTER OF SUPPORT ICO [redacted] (b)(6), (b)(7)(C) USN

3. There are also several factors that both mitigate the impact of these events and favor [redacted] (b)(6), (b)(7)(C) promotion:

[Large redacted block]

(b)(6), (b)(7)(A), (b)(7)(C)

4. In summary, I am confident that [redacted] (b)(6), (b)(7)(C) is ready for the next level of responsibility. I would proudly serve with [redacted] (b)(6), (b)(7)(C) I strongly encourage the Board to support [redacted] (b)(6), (b)(7)(C) promotion to [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C)



J. M. RICHARDSON
Admiral, U.S. Navy



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1393 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0040

24 Apr 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Judge Advocate General

Subj: POTENTIAL VIOLATION OF RULES OF PROFESSIONAL RESPONSIBILITY ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) JAGINST 5803.1E

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter, I have reviewed the Defense Criminal Investigative Service and the Naval Criminal Investigative Service investigations into possible criminal violations committed by GDMA/Leonard Francis, a prohibited source, and various naval officers. During the course of my review, I have reviewed information that calls into question the professional competence on at least one occasion of the subject officer. In accordance with reference (a), the below information is forwarded as a matter under your cognizance.

2. [redacted] (b)(6), (b)(7)(C) USN, was the [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) from May 2002 to July 2004. While serving as the [redacted] (b)(6), (b)(7)(C) provided a [redacted] (b)(6), (b)(7)(C) concerning a GDMA-hosted dinner event that occurred on or about 14 September 2003 [redacted] (b)(6), (b)(7)(C) later learned certain information that would have called into question [redacted] (b)(6), (b)(7)(C) previous opinion.

3. [redacted] (b)(6), (b)(7)(C) states that on [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) of the extravagance of the first dinner event from various sources [redacted] (b)(6), (b)(7)(C) did not approach [redacted] (b)(6), (b)(7)(C) Commander with [redacted] (b)(6), (b)(7)(C) concerns [redacted] (b)(6), (b)(7)(C) could not "put the genie back in the bottle" and there was no value in going back because the dinner had already occurred. [redacted] (b)(6), (b)(7)(C) apparently did not pursue any corrective measures, nor did [redacted] (b)(6), (b)(7)(C) issue any after-action reports for the first dinner. [redacted] (b)(6), (b)(7)(C) states that [redacted] (b)(6), (b)(7)(C) "could beat [redacted] (b)(6), (b)(7)(C) up" over the issue [redacted] (b)(6), (b)(7)(C) could say [redacted] (b)(6), (b)(7)(C) made the decision not to revisit the issue of the extravagance of the dinner after it occurred because [redacted] (b)(6), (b)(7)(C) as an [redacted] (b)(6), (b)(7)(C) that [sic] wanted to [redacted] (b)(6), (b)(7)(C) could not answer why there was not a formal debrief after the first dinner.

Subj: POTENTIAL VIOLATION OF RULES OF PROFESSIONAL
RESPONSIBILITY ICO (b)(6), (b)(7)(C) USN

4. (b)(6), (b)(7)(C) stated, in hindsight, (b)(6), (b)(7)(C) had details of a second GDMA-hosted dinner event (that occurred on 9 October 2003) beforehand (b)(6), (b)(7)(C) could have had an issue with the dinner because Leonard Francis (the host of the dinner event) was the husbanding agent and a government contractor. If (b)(6), (b)(7)(C) had reason to believe that (b)(6), (b)(7)(C) original (b)(6), (b)(7)(C) may have been based upon less than a full disclosure of the relevant facts, then it is unclear why (b)(6), (b)(7)(C) did not communicate this concern to (b)(6), (b)(7)(C) immediately. Not only could corrective action been taken, but this may have prevented the second dinner event from occurring.

5. In light of (b)(6), (b)(7)(C) statements relating to (b)(6), (b)(7)(C) understanding of federal ethics regulations, I am concerned about (b)(6), (b)(7)(C) in regards to the acceptance of gifts from outside sources. 6. My point of contact for this matter is (b)(6), (b)(7)(C) USN (b)(6), (b)(7)(C)


J. M. RICHARDSON



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0055

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Judge Advocate General

Subj: ADDENDUM TO POTENTIAL VIOLATION OF RULES OF PROFESSIONAL RESPONSIBILITY ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) My ltr 5800 Ser 08B-MP/0040 of 24 Apr 15

1. This addendum supplements reference (a) with additional

Referred to the Department of Defense, Office of the Inspector General, 4800 Mark Center Drive, Alexandria, VA 22350-1500

Subj: ADDENDUM TO POTENTIAL VIOLATION OF RULES OF PROFESSIONAL
RESPONSIBILITY ICO [redacted] (b)(6), (b)(7)(C) USN

Referred to the Department of Defense, Office of the Inspector General, 4800 Mark Center Drive, Alexandria, VA 22350-1500

6. I refer this information to your attention for action as appropriate. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C)

[redacted] (b)(6), (b)(7)(C) USN [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser N01L/112
22 Dec 15

From: Commander, United States Fleet Forces Command
To: Assistant Commandant of the Marine Corps

Subj: RECOMMENDATION ICO [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) USMC

Ref: (a) VCNO Memo Ser N09D/15U112927 of 15 Oct 15
(b) 5 C.F.R. § 2635
(c) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I am charged with forwarding factual findings and a non-binding recommendation to you for disposition as you deem appropriate in any case involving Marine Corps personnel. Based on a thorough review and legal analysis, I determined that the evidence does not substantiate any allegations of misconduct by [redacted] (b)(6), (b)(7)(C) USMC.

2. On or about 20 December 2003, while serving as the [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) attended a dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was approximately \$1,015.82 per person. I determined that none of the gift exceptions contained in reference (b) apply to this dinner. There was also evidence that GDMA offered gifts of cigars, personalized name plates and pewter items, and arranged for the availability of prostitutes to attendees at the dinner. However, there is absolutely no evidence that [redacted] (b)(6), (b)(7)(C) patronized a prostitute, behaved inappropriately, or accepted any other gifts at the dinner.

3. [redacted] (b)(7)(A) [redacted] (b)(7)(A), the evidence supports that [redacted] (b)(6), (b)(7)(C) had an honest and reasonable belief that [redacted] (b)(7)(A) and that attendance was legally permissible [redacted] (b)(7)(A). As such, I determined it would be inappropriate to substantiate misconduct by [redacted] (b)(6), (b)(7)(C) in regard to this dinner. However, as the evidence does not show any corrective action following the dinner, I recommend that [redacted] (b)(6), (b)(7)(C) receive ethics training and counseling as you deem appropriate.

4. These findings constitute reportable information in accordance with reference (c). My point of contact is [redacted] (b)(6), (b)(7)(C) USN, who may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.

B. S. DAVIDSON

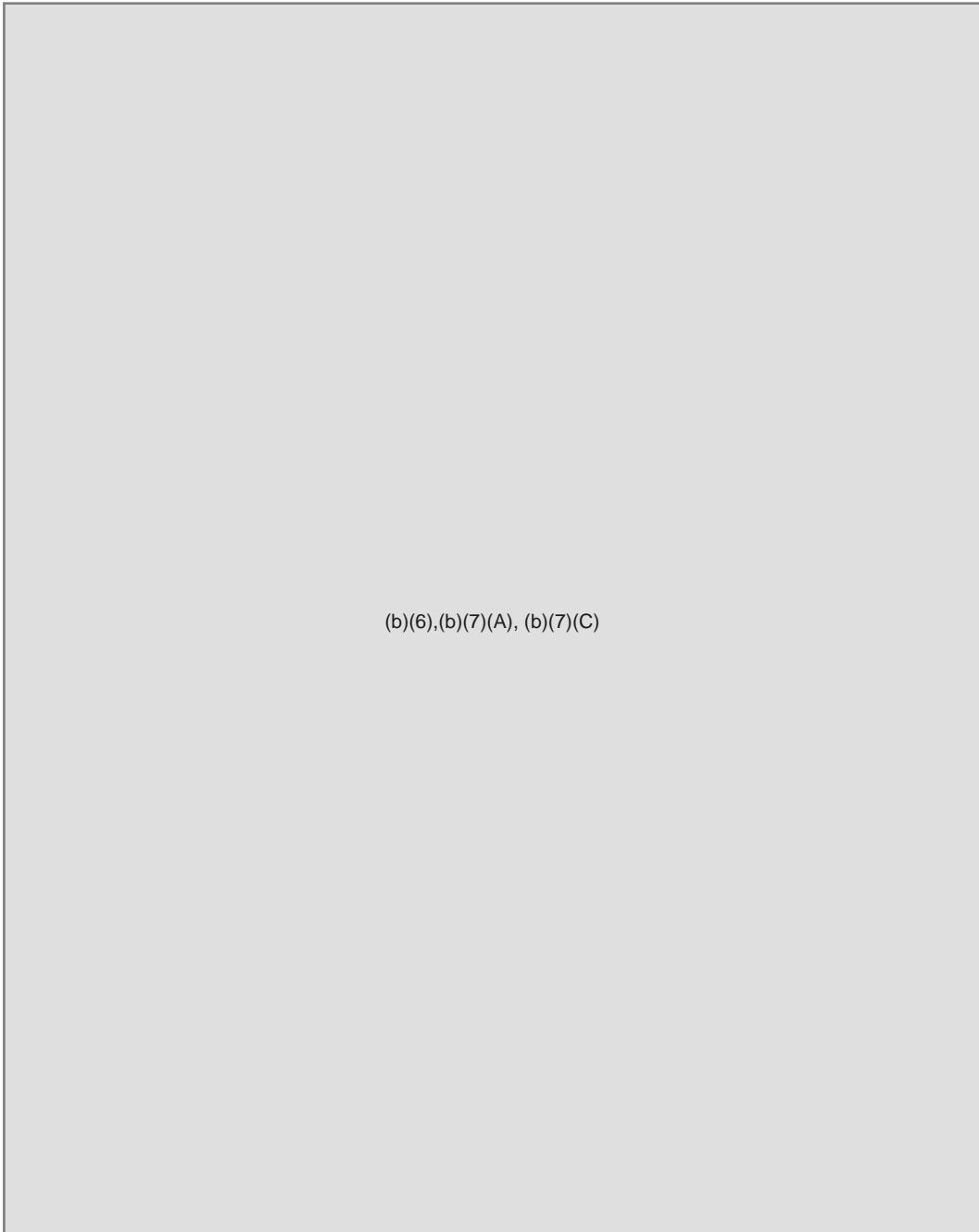
Name	Current Rank	Retired	Strike Group	Investigation Group	Determination	Promotion Hold	
(b)(6),(b)(7)(A), (b)(7)(C)				CDA2			
				CDA2			
				CDA2			
				CDA2			
				CDA2			
				CDA2			
				CDA2		Yes	
				CDA2			
				CDA2			
				CDA2		Unsubstantiated	
				CDA2			
				CDA2		Unsubstantiated	
				CDA2		Unsubstantiated	
				CDA1		Substantiated	
				CDA2			
				CDA2			
				CDA2			
				CDA2			
				CDA2			
				CDA1		Unsubstantiated	
				CDA1		Substantiated	
				CDA1		Unsubstantiated	
				CDA2			
				CDA1		Substantiated	
				CDA2		Substantiated	
				CDA2		Unsubstantiated	Yes
				CDA2			
				CDA1		Substantiated	
CDA2							
CDA2		Substantiated					

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2		
	CDA2	Unsubstantiated	
	CDA2		Yes
	CDA1	Substantiated	
	CDA2	Unsubstantiated	No
	CDA2	Unsubstantiated	
	CDA2	Substantiated	
	CDA2	Unsubstantiated	
	CDA2	Substantiated	
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	No
	CDA2	Unsubstantiated	
	CDA1	Substantiated	
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA1	Substantiated	
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA2		Yes
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		Yes
	CDA2		Yes

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA1	Substantiated	
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2		Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	
CDA2	Unsubstantiated	Yes	
CDA2			

(b)(6),(b)(7)(A), (b)(7)(C)

CDA2		Yes
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		
CDA2		
CDA2	Substantiated	
CDA2	Unsubstantiated	Yes
CDA2		Yes
CDA2		
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		Yes
CDA2		Yes
CDA2	Unsubstantiated	Yes
CDA2		
CDA2	Unsubstantiated	Yes
CDA2		
CDA2		Yes
CDA1	Substantiated	
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2		Yes
CDA1	Substantiated	
CDA2		
CDA2	Unsubstantiated	



(b)(6),(b)(7)(A), (b)(7)(C)

CDA2		
CDA1	Unsubstantiated	
CDA1	Unsubstantiated	
CDA2		
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2		
CDA1	Unsubstantiated	
CDA2	Substantiated	
CDA2		Yes
CDA2	Unsubstantiated	No
CDA2	Unsubstantiated	No
CDA2		
CDA2		
CDA2	Unsubstantiated	No
CDA2		
CDA2		
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes
CDA2	Substantiated	No
CDA2		
CDA2	Unsubstantiated	No
CDA2		
CDA1	Unsubstantiated	
CDA2		
CDA2		
CDA2		
CDA1	Unsubstantiated	
CDA1	Unsubstantiated	

(b)(6),(b)(7)(A), (b)(7)(C)	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	No
	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2		
	CDA2		Yes
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		Yes
	CDA2		
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA1	Unsubstantiated	
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
CDA2	Unsubstantiated	Yes	
CDA2	Substantiated	Yes	

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2		
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA2		No
	CDA2		No
	CDA2		
	CDA2	Unsubstantiated	No
	CDA2		
	CDA2	Unsubstantiated	Yes
	CDA2		
	CDA1	Unsubstantiated	
	CDA1	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2		Yes
	CDA1	Substantiated	
	CDA2		
	CDA1	Unsubstantiated	
	CDA2		
CDA2			
CDA1	Unsubstantiated		
CDA1	Unsubstantiated		
CDA2		Yes	



(b)(6),(b)(7)(A), (b)(7)(C)

CDA2	Unsubstantiated	No
CDA2		
CDA2		
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2	Unsubstantiated	No
CDA1	Unsubstantiated	
CDA2		
CDA2		
CDA1	Unsubstantiated	
CDA1	Unsubstantiated	
CDA2		
CDA2		
CDA2		
CDA2	Unsubstantiated	
CDA2		
CDA2		Yes
CDA2	Unsubstantiated	
CDA2		No
CDA1	Unsubstantiated	
CDA2		No
CDA2		No
CDA2		
CDA2		
CDA2		
CDA2		
CDA2		
CDA2		
CDA2		
CDA2		No
CDA1	Unsubstantiated	
CDA2	Substantiated	

(b)(6),(b)(7)(A), (b)(7)(C)	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA2	Unsubstantiated	
	CDA2		
	CDA2		
	CDA2	Unsubstantiated	No
	CDA2		
	CDA2		
	CDA2	Substantiated	No
	CDA2	Substantiated	
	CDA2	Referred to other agency	
	CDA2		
TOTAL:			
281			

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Tuesday, July 19, 2016 13:48
To: (b)(6), (b)(7)(C)
Subject: Ethics Training
Signed By: (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) ethics training is now complete. I'll note the file.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Tuesday, July 19, 2016 13:49
To: (b)(6), (b)(7)(C)
Subject: FW: Confirmed
Signed By: (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) confirmed below that (b)(6), (b)(7)(C) completed ethics training for (b)(6), (b)(7)(C) on 24 March. Please print out and put into (b)(6), (b)(7)(C) file.

Thank you.

V/r

(b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Tuesday, July 19, 2016 1:41 PM
To: (b)(6), (b)(7)(C)
Subject: Confirmed

24 March

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Friday, August 05, 2016 10:28
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: MFR re Additional Ethics Training for (b)(6), (b)(7)(C)
Signed By: (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

This is a memorandum for the record. At the end of the CDA's counseling of (b)(6), (b)(7)(C) yesterday, I provided (b)(6), (b)(7)(C) with additional ethics training related to the basic principles of ethical conduct for government officers and employees, with a specific focus on the rules surrounding gifts.

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Tuesday, April 05, 2016 15:48
To: (b)(6), (b)(7)(C)
Subject: FW: (b)(6), (b)(7)(C)
Signed By: (b)(6), (b)(7)(C)@navy.mil

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Tuesday, April 05, 2016 3:41 PM
To: (b)(6), (b)(7)(C)
Subject: (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) Just wanted to follow-up. (b)(6), (b)(7)(C) has completed the ethics training we discussed. (b)(6), (b)(7)(C)

V/R,
(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Friday, October 28, 2016 12:21
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: RE: Ethics Training
Signed By: (b)(6), (b)(7)(C)@navy.mil

Thanks, I similarly completed ethics training for (b)(6), (b)(7)(C) on 20 October if you want to document that in some way (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Friday, October 28, 2016 10:20 AM
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: Ethics Training

(b)(6), (b)(7)(C)

I completed ethics training with (b)(6), (b)(7)(C) this morning. This was the last task remaining for the ESG-1 sweep-up cases.

A note indicating that training is complete will be included in the ESG-1 folder.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Friday, October 28, 2016 10:20
To: (b)(6), (b)(7)(C)
Cc:
Subject: Ethics Training
Signed By: (b)(6), (b)(7)(C)@navy.mil

(b)(6), (b)(7)(C)

I completed ethics training with (b)(6), (b)(7)(C) this morning. This was the last task remaining for the ESG-1 sweep-up cases.

A note indicating that training is complete will be included in the ESG-1 folder.

V/r

(b)(6), (b)(7)(C)

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(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
nt: Tuesday, July 19, 2016 9:23
o: (b)(6), (b)(7)(C)
Subject: FW: Training
Signed By: (b)(6), (b)(7)(C)@navy.mil

Ethics training complete.

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Monday, July 18, 2016 11:14 AM
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: RE: Training

There should be a window between 0900-0945, hopefully closer to 0900.

Can we book that time and I'll call you as close to 0900 as I can?

Thanks. V/r, (b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Monday, July 18, 2016 11:02 AM
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: RE: Training

(b)(6), (b)(7)(C)

How does Tuesday morning look for you? Coming off your annual ethics training - I think we can knock this out pretty quickly. I'm wide open 9-12, so can adjust to your schedule. This is probably a 15-20 minute conversation, and mostly an opportunity for you to ask questions.

V/r,

(b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C)
Sent: Monday, July 18, 2016 10:57 AM
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: RE: Training

(b)(6), (b)(7)(C)

As for my schedule, I anticipate being in the office (b)(6), (b)(7)(C) (in DC area) the week of your return, but will be on travel myself the end of week of 4th and end of the week of 18th. Can work timing when you are back.

Enjoy your leave and Happy 4th to you both.

V/ (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)

Sent: Friday, July 01, 2016 12:03 PM

To: (b)(6), (b)(7)(C)

Cc: (b)(6), (b)(7)(C)

Subject: Training

(b)(6), (b)(7)(C)

I will be on leave/travel next week, but will connect with you the following week. ADM Davidson has already signed and transmitted all appropriate paperwork as discussed. (b)(6), (b)(7)(C) (CC on this e-mail) is my POC if you have any questions in the interim, or feel free to call me on my cell (b)(6), (b)(7)(C)

r,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

From: (b)(6), (b)(7)(C)
Sent: Tuesday, September 06, 2016 10:20
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C)
Subject: RE: NPLOC and Ethics Training
Signed By: (b)(6), (b)(7)(C) @navy.mil

(b)(6), (b)(7)(C) have completed the ethics training as required.

VR, (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

-----Original Message-----

From: (b)(6), (b)(7)(C)
Sent: Thursday, September 01, 2016 8:06 PM
To: (b)(6), (b)(7)(C)
Cc: (b)(6), (b)(7)(C) @navy.mil
Subject: NPLOC and Ethics Training

(b)(6), (b)(7)(C)

Attached, please find the nonpunitive letter of caution you discussed today with ADM Davidson. Also, as mentioned, he is requiring you to complete remedial ethics training. To that end, I have attached three documents:

1. SECDEF's Ethics Memo from February 2016;
2. An Employee Ethics Guide; and
3. Ethics training presentation on gifts.

Please review all three documents. Upon completion, please send me an email certifying you have reviewed the material. I am your point of contact if you have any questions about the ethics material or your case in general. Thank you.

R/

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/072
17 Feb 2017

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04
(e) SECNAVINST 1650.1H
(f) ALNAV 080/14
(g) SECNAV M 5510.30

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against then-Captain Adrian Jansen, while he was serving as Naval Attaché to Indonesia between 2010 and 2013. Based on a preponderance of evidence, I substantiated that RDML Jansen wrongfully:

a. Violated the Joint Ethics Regulation as implemented by the Department of Defense, a lawful order, when he accepted gifts from Leonard Francis and GDMA, a prohibited source, on 7 December 2011, 20 October 2012 and 19 June 2013;

b. Was negligently derelict in the performance of his duties for failing to report Leonard Francis as a foreign contact to appropriate officials; and

c. Was willfully derelict in the performance of his duties when he failed to report gifts provided by Leonard Francis and GDMA, a foreign contact.

2. Between 2010 and 2013, RDML Jansen accepted gifts in the form of lavish dinners paid for by GDMA and Leonard Francis. The total value of the meals was in excess of \$5000.00 and none of the gift exceptions in reference (c) applied. In addition, at a private "farewell luncheon" hosted by Leonard Francis, RDML Jansen was provided gifts in the form of expensive bottles of wine and none of the gift exceptions in reference (c) applied.

3. As a Naval Attaché assigned to the Defense Intelligence Agency, RDML Jansen had a duty to report to appropriate security officials his engagements with Leonard Francis and the offering and accepting of gifts by a foreign national. RDML Jansen did not report any of his interactions with Leonard Francis, or the offering and accepting of the gifts referenced above, at any time.

Subj: ADVERSE INFORMATION ICO RDML ADRIAN JANSEN, USN

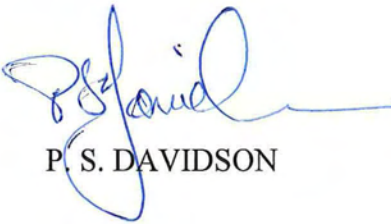
4. RDML Jansen was in contact with, and socialized directly with, Mr. Francis on numerous occasions. Overall, RDML Jansen failed to live up the standards expected of an officer of his rank and experience during his interactions with Mr. Francis and GDMA.

5. The above findings constitute adverse information in accordance with reference (d). I addressed these issues with RDML Jansen at Admiral's Mast and imposed nonjudicial punishment in the form of a punitive letter of reprimand and forfeiture of three thousand seven hundred and fifty dollars per month for two months (total forfeitures of \$7,500.00). My findings were in accordance with RDML Jansen's pleas of guilty to three specifications of orders violations implementing the Joint Ethics Regulation within the Department of Defense and one specification of willful dereliction of duty for failure to report the gifts. My finding related to the negligent dereliction of duty for failing to report Leonard Francis as a foreign contact was contrary to RDML Jansen's plea at Admiral's Mast.

6. By copy of this letter, I am recommending that RDML Jansen's current command suspend his access to classified information in accordance with reference (g). In addition, I have referred evidence to the DoD Central Adjudication Facility for any action deemed appropriate related to RDML Jansen's security clearance.

7. In accordance with references (e) and (f), via separate correspondence, I am recommending that the Secretary of the Navy revoke RDML Jansen's end of tour award for his assignment as the Naval Attaché to Indonesia during which these offenses took place.

8. I considered all potential and appropriate remedies consistent with the evidence and findings of fact including restitution and reimbursement. The punishment imposed at Admiral's Mast appropriately addresses the benefits that RDML Jansen obtained as a result of his misconduct. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) USN [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00F)
NCIS [REDACTED]
DCIS [REDACTED] (b)(6), (b)(7)(C)
CNIC (N00J)

REPORT AND DISPOSITION OF OFFENSE(S)

NAVPERS 1626/7

To: Commander, U.S. Fleet Forces Command Date of Report: 27 January 2017

I hereby report the following named person for the offense(s) noted:

NAME OF ACCUSED JANSEN, Adrian J.	SERIAL NO. N/A	SOCIAL SECURITY NO. (b)(6), (b)(7)(C)	RATE/GRADE RDML/O7	BR. & CLASS USN	DIV/DEPT
PLACE OF OFFENSE(S) At or near Jakarta, Indonesia At or near Bali, Indonesia At or near Jakarta, Indonesia		DATE OF OFFENSE(S) On or about 7 December 2011 On or about 20 October 2012 On or about 19 June 2013			

DETAILS OF OFFENSE(S) (Refer by Article of UCMJ if known. If unauthorized absence, give following info: time and date of commencement, whether over leave or liberty, time and date of apprehension or surrender and arrival on board, loss of ID card and/or liberty card, etc.):

Charge: Violation of UCMJ Article 92

Specification 1 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Jakarta, Indonesia, on or about 7 December 2011, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food and beverages purchased for RDML Jansen and the said RDML Jansen (b)(6), (b)(7)(C) in violation of 5 C.F.R. 2635.202.

SEE CONTINUATION PAGE

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT
(b)(6), (b)(7)(C)	USN	Assistant Fleet Judge Advocate	(b)(6), (b)(7)(C)		USN
<i>(Rate/Grade/Title of person submitting report)</i>			<i>(Signature of Accused)</i>		

I have been informed of the nature of the accusation(s) against me. I understand I do not have to answer any questions or make any statement regarding the offense(s) of which I am accused or suspected. However, I understand any statement made or questions answered by me may be used as evidence against me in event of trial by court-martial (Article 31, UCMJ).

Witness: (b)(6), (b)(7)(C) Acknowledged: Adrian Jansen
(Signature of Accused)

PRE-TRIAL CONFINEMENT RESTRICTED: You are restricted to the limits of _____ in lieu of arrest by order of the CO. Until your status as a restricted person is terminated by the CO, you may not leave the restricted limits except with the express permission of the CO or XO. You have been informed of the times and places which you are required to muster.

NO RESTRICTION

(Signature and title of person imposing restraint)

(Signature of Accused)

INFORMATION CONCERNING ACCUSED

CURRENT ENL. DATE 15 Dec 1984	EXPIRATION CURRENT ENL. DATE INDEF	TOTAL ACTIVE NAVAL SERVICE 32 yrs 1 mos	TOTAL SERVICE ON BOARD N/A	EDUCATION N/A	AFQT N/A	AGE (b)(6), (b)(7)(C)
MARITAL STATUS (b)(6), (b)(7)(C)	NO. DEPENDENTS	CONTRIBUTION TO FAMILY OR QTRS. ALLOWANCE (Amount required by law) N/A		PAY PER MONTH (Including sea or foreign duty pay, if any) \$13,006; ½ = \$6,503		

RECORD OF PREVIOUS OFFENSE(S) (Date type action taken etc. Nonjudicial punishment incidents are to be included.)
None known.

Charge: Violation of UCMJ Article 92 (continued)

Specification 2 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Bali, Indonesia, on or about 20 October 2012, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food and beverages purchased for RDML Jansen and the said RDML Jansen in violation of 5 C.F.R. 2635.202.

Specification 3 (Violation of Lawful Order): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, having knowledge of a lawful order issued by the Secretary of Defense, to wit: Paragraph 2-100 of the Joint Ethics Regulation, Department of Defense 5500.07-R, as implemented by Department of Defense Directive 5500.07, dated 9 November 2007, an order which it was his duty to obey, did, at or near Jakarta, Indonesia, on or about 19 June 2013, fail to obey the same by wrongfully accepting a gift of a value exceeding permissible limits from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, in the form of food, beverage, [redacted] bottles of wine purchased for RDML Jansen in violation of 5 C.F.R. 2635.202.

Specification 4 (Willful Dereliction of Duty): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, who knew of his duties, at or near Jakarta, Indonesia, on divers occasions from about December 2011 to about June 2013, was derelict in the performance of those duties in that he ^{negligently} failed to report contacts with Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a foreign national, to the Office of the Defense Attaché, as it was his duty to do.

Specification 5 (Willful Dereliction of Duty): In that Rear Admiral (Lower Half) Adrian J. Jansen, U.S. Navy, Office of the Chief of Naval Operations, on active duty, who knew of his duties, at or near Jakarta, Indonesia, on divers occasions from about December 2011 to about June 2013, was derelict in the performance of those duties in that he willfully failed to report acceptance of gifts from Mr. Leonard Francis, owner of Glenn Defense Marine Asia, and a prohibited source, to the Office of the Defense Attaché, as it was his duty to do.

AND NO OTHERS

PRELIMINARY INQUIRY REPORT

From: Commander

Date: _____

To: N/A

1. Transmitted herewith for preliminary inquiry and report by you, including, if appropriate in the interest of justice and discipline, the preferring of such charges as appeal to you to be sustained by expected evidence.

REMARKS OF DIVISION OFFICER (Performance of duty, etc.)

N/A

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT

RECOMMENDATION AS TO DISPOSITION

DISPOSE OF CASE AT MAST

REFER TO COURT-MARTIAL FOR TRIAL OF ATTACHED CHARGES (Complete Charge Sheet (DD Form 458) through Page 2)

NO PUNITIVE ACTION NECESSARY OR DESIRABLE

OTHER

COMMENT (Include data regarding availability of witnesses, summary of expected evidence, conflicts in evidence, if expected. Attach statements of witnesses, documentary evidence such as service record entries in UA cases, items of real evidence, etc.)

(Signature of Investigating Officer)

ACTION OF EXECUTIVE OFFICER

DISMISSED REFER TO CAPTAIN'S MAST

SIGNATURE OF EXECUTIVE OFFICER

RIGHT TO DEMAND TRIAL BY COURT-MARTIAL

(Not applicable to persons attached to or embarked in a vessel)

I understand that nonjudicial punishment may not be imposed on me if, before the imposition of such punishment, I demand in lieu thereof trial by court-martial. I therefore (do) (do not) demand trial by court-martial.

(b)(6), (b)(7)(C)

SIGNATURE OF ACCUSED

[Signature]

ACTION OF COMMANDING OFFICER

DISMISSED

DISMISSED WITH WARNING (Not considered NJP)

ADMONITION: ORAL/IN WRITING

REPRIMAND: ORAL/IN WRITING

REST. TO _____ FOR _____ DAYS

REST. TO _____ FOR _____ DAYS WITH SUSP. FROM DUTY

FORFEITURE: TO FORFEIT \$ 3750 PAY PER MO. FOR 2 MO(S)

CONF. ON _____ 1, 2, OR 3 DAYS

CORRECTIONAL CUSTODY FOR _____ DAYS

REDUCTION TO NEXT INFERIOR PAY GRADE

REDUCTION TO PAY GRADE OF _____

EXTRA DUTIES FOR _____ DAYS

PUNISHMENT SUSPENDED FOR _____

REFER TO ART. 32 INVESTIGATION

RECOMMENDED FOR TRIAL BY GCM

DETENTION: TO HAVE \$ _____ PAY PER MO. FOR (1, 2, 3) MO(S) DETAINED FOR _____ MO(S)

AWARDED SPCM AWARDED SCM

DATE OF MAST
10 Feb 2017

DATE ACCUSED INFORMED OF ABOVE ACTION
10 Feb. 2017

SIGNATURE OF COMMANDING OFFICER
[Signature] ASM USN

It has been explained to me and I understand that if I feel this imposition of nonjudicial punishment to be unjust or disproportionate to the offenses charged against me, I have the right to immediately appeal my conviction to the next higher authority within 5 days. See agreement

SIGNATURE OF ACCUSED
[Signature]

DATE
10 FEB 2017

I have explained the above to
SIGNATURE OF WITNESS

(b)(6), (b)(7)(C)

10 Feb 2017

FINAL ADMINISTRATIVE ACTION

APPEAL SUBMITTED BY ACCUSED

FINAL RESULT OF APPEAL:

DATED: _____

No appeal submitted per agreement

FORWARDED FOR DECISION ON: _____

APPROPRIATE ENTRIES MADE IN SERVICE RECORD AND PAY ACCOUNT ADJUSTED WERE REQUIRED

FILED IN UNIT PUNISHMENT BOOK:

DATE: _____

(Initials)

DATE: _____

(Initials)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 00)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0052

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO USS RONALD REAGAN (CVN 76)
STRIKE GROUP PERSONNEL

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635
(c) DoDI 1320.04

Encl: (1) List of Attendees
(2) List of Non-Attendees

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence does not substantiate misconduct by the U.S. Navy Officers listed in enclosures (1) and (2), while assigned to the USS RONALD REAGAN (CVN 76) STRIKE GROUP during the period 2006-2007.

2. During the USS RONALD REAGAN (CVN 76) STRIKE GROUP deployments in 2006-2007, four dinner events were hosted and paid for by GDMA, a prohibited source. Each of the officers listed in enclosure (1) attended at least one of these dinner events and either did not pay, or paid less than the market value of the event. I have determined that none of the gift exceptions contained in reference (b) apply to these dinner events.

3. [REDACTED] (b)(7)(A)

[REDACTED] (b)(7)(A)

[REDACTED] (b)(7)(A)

Strike

Subj: REPORTABLE INFORMATION ICO USS RONALD REAGAN (CVN 76)
STRIKE GROUP PERSONNEL

Group, each of the officers honestly and reasonably believed that their attendance was ethically permissible. As such, I have determined that it would be inappropriate to substantiate misconduct by any of these officers in regards to these dinners. However, I did provide counseling to the active duty attendees listed in enclosure (1) and required them to receive ethics training.

4. Enclosure (2) is a list of officers whose names appeared on a pre-dinner roster of anticipated attendees, but there is insufficient evidence to substantiate their attendance at any of the GDMA-hosted dinner events. The list of non-attendees is provided for the sole purpose of ensuring there is an administrative record documenting the determination that there is insufficient evidence to substantiate their attendance at any of the GDMA-hosted dinner events.

5. The above findings constitute reportable information in accordance with reference (c). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.

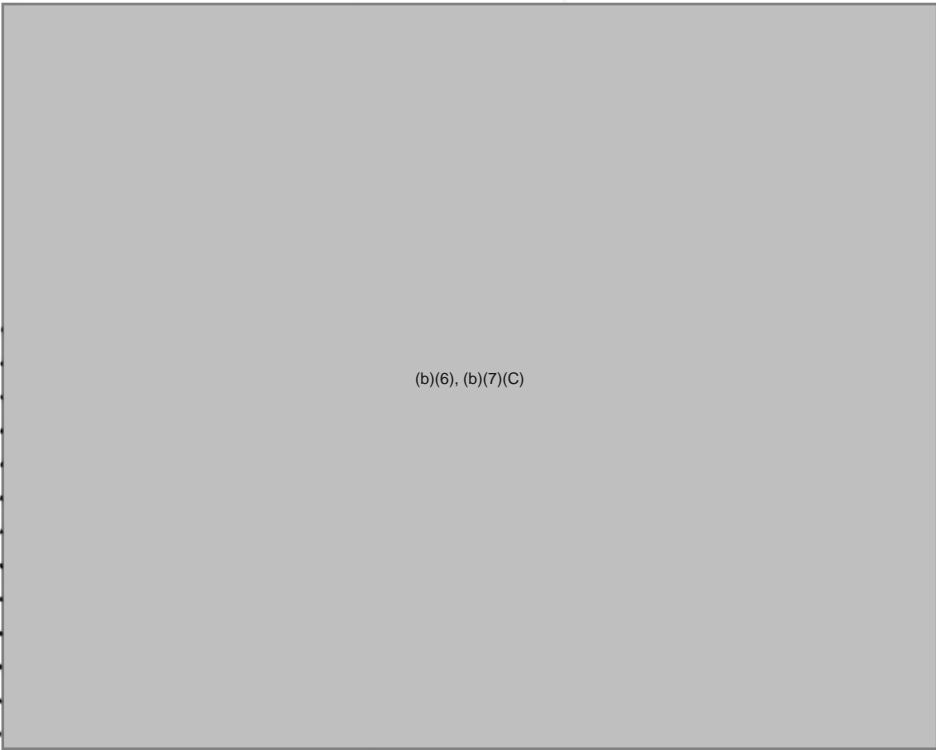


J. M. RICHARDSON

Copy to:
CNP [REDACTED] (b)(6), (b)(7)(C)

2006-2007 GDMA-HOSTED DINNER EVENTS LIST OF ATTENDEES

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.
- 9.
- 10.
- 11.
- 12.
- 13.
- 14.
- 15.
- 16.
- 17.
- 18.
- 19.
- 20.
- 21.
- 22.



(b)(6), (b)(7)(C)

* All ranks are at time of dinner events

ENCL (1)

2006-2007 GDMA-HOSTED DINNER EVENTS LIST OF NON-ATTENDEES

- 1.
- 2.
- 3.
- 4.
- 5.

(b)(6), (b)(7)(C)

* All ranks are at time of dinner events

ENCL (2)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0033
March 23, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding [REDACTED] (b)(6), (b)(7)(C) USN.

A close review and legal analysis of the evidence indicates that [REDACTED] (b)(6), (b)(7)(C) pursued a sound ethical course of conduct in each engagement of Glenn Defense Marine Asia (GDMA).

Based on the above, no further action regarding [REDACTED] (b)(6), (b)(7)(C) will be pursued.


J. M. RICHARDSON

cc:
VCNO
GC
DJAG



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0039
April 24, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding [REDACTED] U.S. Navy.

I conducted a close review and legal analysis of the evidence, including interviews of [REDACTED] numerous statements of other witnesses, and other documentary material. I find the allegations that [REDACTED] while serving as [REDACTED] [REDACTED] during the time period of September 2003 - October 2003, accepted improper gifts from Glenn Defense Marine Asia or Leonard Francis are unsubstantiated. Based on the facts of the case, I did have a discussion with [REDACTED] [REDACTED] concerning the risks inherent in any interactions with defense contractors and the need for robust processes to ensure compliance with ethical standards.

In accordance with reference (c), I will supply supplemental materials concerning the unsubstantiated allegations against [REDACTED] to the Naval Inspector General.


J. M. RICHARDSON

cc:
VCNO
GC
CNP
NAVIG
DJAG



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/042
27 Apr 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C)
USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635
(c) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) USN, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C).

2. On 14 September 2003, [REDACTED] (b)(6), (b)(7)(C) attended a dinner event that was paid for by GDMA, a prohibited source. On 9 October 2003, [REDACTED] (b)(6), (b)(7)(C) attended a second dinner event that was also paid for by GDMA. I have determined that none the gift exceptions contained in reference (b) apply to these dinner events.

3. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) As such, I have determined that it would be inappropriate to substantiate allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C) in regards to these dinners, or any other matters. A review and determination regarding potential misconduct on the part of other [REDACTED] (b)(6), (b)(7)(C) personnel will be pursued as a separate matter.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C)
USN

4. The above findings constitute reportable information in accordance with reference (c). My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800
Ser 08B-MP/0051
June 1, 2015

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA)

References: (a) Your ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) My ltr 5800 Ser 08B-MP 0196 of 11 Dec 14
(d) 5 C.F.R. § 2635
(e) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the subject matter. This memorandum summarizes the CDA actions that I have taken regarding officers of the USS RONALD REAGAN (CVN-76) Strike Group during 2006-2007.

I previously took action on five flag officers who were part of the REAGAN Strike Group at the time. Per reference (c), I recommended Secretarial Letters of Censure for three of the flag officers concerned and I addressed the lapses in judgment by the other two flag officers via appropriate administrative measures within my authority as the CDA.

I conducted a close review and legal analysis of the evidence concerning the remaining officers in the REAGAN Strike Group, including interviews of members of the wardroom, and other documentary material. I have substantiated that (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) accepted improper gifts in the form of attendance at GDMA-hosted dinner events. I addressed (b)(6), (b)(7)(C) lapse in judgment via an appropriate administrative measure within my authority as the CDA. (b)(7)(A) (b)(7)(A) I took no actions against (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) beyond reporting my findings to the Naval Inspector General.

I have determined that twenty-two other officers, including (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USN, attended GDMA-hosted dinner events and that none of the gift exceptions contained in reference (d) apply. However, I also determined that it would be

inappropriate to substantiate misconduct by these officers because they honestly and reasonably believed [REDACTED] (b)(7)(A) that attendance at the dinner events was permissible. I will conduct in-person mentoring with [REDACTED] (b)(6), (b)(7)(C) and ensure that [REDACTED] (b)(6), (b)(7)(C) receives ethics training. I am requiring that all other active duty officers who attended a GDMA dinner event participate in a telephonic mentoring session with me, as well as receive ethics training.

In accordance with reference (e), I will supply to the Naval Inspector General supplemental materials concerning the officers of the REAGAN Strike Group who attended GDMA-hosted dinner events.



J. M. RICHARDSON

cc:
VCNO
GC
CNP
NAVIG
DJAG



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0053

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014

(b) 5 C.F.R. § 2635.202

(c) 5 C.F.R. § 2635.203

(d) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that from Apr 2005 to Sep 2006, while on deployment with Carrier Strike Group SEVEN (CSG-7), [REDACTED] (b)(6), (b)(7)(C) USN, accepted improper gifts from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while on deployment with CSG-7, [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Singapore from a prohibited source.

b. On 4 Jun 2006, while on deployment with CSG-7, [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Kuala Lumpur from a prohibited source.

c. On 11 Jun 2006, while on deployment with CSG-7, [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Hong Kong from a prohibited source.

3. The above findings constitute adverse information in accordance with reference (d). While the allegations are substantiated, it is important to understand the context of the events and place them in the proper perspective. I have

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN

concluded that there are significant mitigating factors,

(b)(7)(A)

(b)(7)(A) for (b)(6), (b)(7)(C) attendance at these dinner events. I have, however, taken appropriate administrative action regarding (b)(6), (b)(7)(C) and required that (b)(6), (b)(7)(C) complete additional ethics training.

4. (b)(6), (b)(7)(C) continues to be a significant and valued asset to the Navy. To the extent this letter is provided to others in the future as evidence of adverse information concerning (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) I specifically recommend against withholding (b)(6), (b)(7)(C) name from any future promotion selection lists based upon the adverse information provided in this letter. This adverse information concerns events that happened approximately 10 years ago when

(b)(6), (b)(7)(C) I had the opportunity to speak with (b)(6), (b)(7)(C) to provide individual mentoring. I am fully satisfied that (b)(6), (b)(7)(C) recognizes (b)(6), (b)(7)(C) role in the ethical issues aboard the USS RONALD REAGAN in 2006, that (b)(6), (b)(7)(C) has learned from this experience, and that (b)(6), (b)(7)(C) will carry forward these lessons for (b)(6), (b)(7)(C) own benefit, and the benefit of (b)(6), (b)(7)(C) subordinates. To be clear, it would be unreasonable to withhold

(b)(6), (b)(7)(C) name from any future promotion selection lists based upon (b)(6), (b)(7)(C) attendance at some dinner events that occurred in 2006 while (b)(6), (b)(7)(C) was serving as (b)(6), (b)(7)(C)

5. My point of contact for this matter is (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.

J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0054

1 Jun 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C) USN (RET.),
(b)(6), (b)(7)(C) USN (RET.), (b)(6), (b)(7)(C) USN
(RET.), AND (b)(6), (b)(7)(C) USN (RET.)

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) DoDI 1320.04
(e) SECNAVINST 1920.6C
(f) AR 15-80

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that, while on deployment with Carrier Strike Group SEVEN (CSG-7) during 2006-2007, (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), (b)(6), (b)(7)(C) USN (Ret.), and (b)(6), (b)(7)(C) USN (Ret.) accepted improper gifts from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) accepted the improper gift of a dinner party and cigars in Singapore from a prohibited source.

b. On 9 Feb 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Singapore from a prohibited source.

c. On 4 Jun 2006, while on deployment with CSG-7, (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in Kuala Lumpur from a prohibited source.

Subj: ADVERSE INFORMATION ICO (b)(6), (b)(7)(C), USN (RET.),
(b)(6), (b)(7)(C) USN (RET.), (b)(6), (b)(7)(C) USN
(RET.), AND (b)(6), (b)(7)(C) USN (RET.)

d. On 10 Mar 2007, while on deployment with CSG-7, (b)(6), (b)(7)(C)
and (b)(6), (b)(7)(C) accepted the improper gift of a dinner party in
Hong Kong from a prohibited source.

3. The above findings constitute adverse information in
accordance with reference (d). While the allegations are
substantiated, it is important to understand the context of the
events and place them in the proper perspective. I have
concluded that there are significant mitigating factors,

(b)(7)(A)

(b)(7)(A) If reference (e) is changed to
allow for retirement grade determinations to be re-opened after
retirement under certain exceptional circumstances, similar to
those provided for in reference (f), then I recommend against
re-opening retirement grade determinations in the case of the
subject officers based upon the adverse information provided.

4. My point of contact for this matter is (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) OR
(b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0211

December 11, 2014

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Action Relating to Glenn Defense Marine Asia (GDMA) –
RADM Timothy M. Giardina, USN

References: (a) SECNAV ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the above-referenced subject matter. This memorandum summarizes the CDA actions taken to date regarding the subject flag officer.

In the case of RADM Timothy M. Giardina, USN, I addressed his lapse in judgment via an appropriate administrative measure within my authority as the CDA.

In accordance with reference (c), any substantiated adverse finding or conclusion from an officially documented investigation or inquiry, or any other credible information of an adverse nature, constitutes "adverse information." I have, therefore, reported all of the substantiated adverse findings concerning the subject flag officer to the Naval Inspector General, who will maintain a record of these findings.



J. M. RICHARDSON

Attachments:

None

cc:

VCNO

DJAG



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 09)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0210

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM TIMOTHY M. GIARDINA, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that while acting as the Chief of Staff (CoS) for SEVENTH FLEET from August 2003 to July 2005, and as Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011, RADM Giardina demonstrated poor judgment by accepting multiple dinners from Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c).

2. More specifically, I determined that the evidence substantiates the following:

a. While serving as the Chief of Staff for SEVENTH FLEET from August, 2003, to July, 2005, RADM Giardina accepted the improper gift of a dinner in Singapore from Mr. Francis.

b. While serving as the Chief of Staff for SEVENTH FLEET from August, 2003, to July, 2005, RADM Giardina accepted the improper gift of a dinner in Malaysia from Mr. Francis.

3. RADM Giardina also displayed poor judgment when, despite his knowledge that Francis had previously attempted to influence him with improper gifts, he continued to interact with Mr. Francis, such as, while serving as a flag officer in the position of Deputy Commander, U.S. Pacific Fleet, meeting with him for a private breakfast in 2011 at a hotel in Hawaii and providing him with a personal email address.

Subj: ADVERSE INFORMATION ICO RADM TIMOTHY M. GIARDINA, USN

4. The above findings constitute adverse information in accordance with reference (d).

5. My point of contact for this matter is [REDACTED]

[REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) or [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:

CNP [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 00)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-0010

5800

Ser 08B-MP/028

12 Mar 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADDENDUM TO ADVERSE INFORMATION ICO RADM TIMOTHY M. GIARDINA, USN

Ref: (a) My ltr 5800 Ser 08B-MP/0210 of 13 Feb 15 ICO RADM Timothy M. Giardina, USN

1. This letter clarifies paragraph 1 of reference (a).

2. Paragraph 1 of reference (a) states that while acting as Chief of Staff (CoS) for SEVENTH FLEET from August 2003 to July 2005, and Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011, RADM Giardina demonstrated poor judgment by accepting multiple dinners from Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source. As is made clear in paragraph 2 of reference (a), both dinners took place while RADM Giardina was serving as the Chief of Staff for SEVENTH FLEET from August 2003 to July 2005 and not while he was serving as Deputy Commander, U.S. Pacific Fleet, from July 2010 to December 2011.

3. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C)
[redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or
[redacted] (b)(6), (b)(7)(C) @navy.mil.


J. M. RICHARDSON

Copy to:
CNP [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0196

December 11, 2014

MEMORANDUM FOR SECRETARY OF THE NAVY

SUBJECT: Accountability Actions Relating to Glenn Defense Marine Asia (GDMA) –
USS RONALD REAGAN STRIKE GROUP (2006-2007)

References: (a) SECNAV ltr of 28 Mar 14
(b) VCNO ltr 5800 Ser N09/ of 28 Mar 14
(c) DoDI 1320.04

References (a) and (b) designated me as the consolidated disposition authority (CDA) for the above-referenced subject matter. This memorandum summarizes the CDA actions taken to date.

In the cases of VADM Michael H. Miller, USN, RADM Terry B. Kraft, USN, and RDML David R. Pimpo, USN, I recommend that you issue Secretarial Letters of Censure and revoke end of tour awards for the assignments concerned. TABs A through C pertain. Further, I directed my staff to ensure that a summary of VADM Miller's and RDML Pimpo's lack of judgment in regards to their relationships with a prohibited source and foreign national be reported on the Joint Personnel Adjudication System (JPAS). I have also directed my staff to refer this matter to the Acquisition Integrity Office (AIO) for any action deemed appropriate.

In the cases of (b)(6), (b)(7)(C) USN, and (b)(6), (b)(7)(C) USN, I addressed their lapses in judgment via appropriate administrative measures within my authority as the CDA. There were also approximately 20 other officers (including one (b)(6), (b)(7)(C)) from the subject strike group who may have attended GDMA-hosted dinners. I intend to handle those cases with similar appropriate administrative measures.

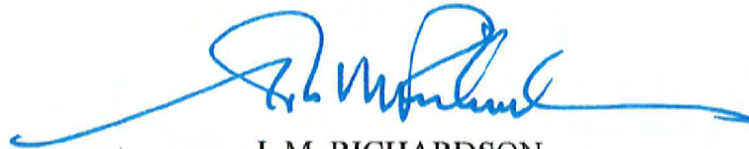
In accordance with reference (c), any substantiated adverse finding or conclusion from an officially documented investigation or inquiry, or any other credible information of an adverse nature, constitutes "adverse information." I have, therefore, reported all of the substantiated adverse findings concerning each of the aforementioned flag officers to the Naval Inspector General, who will maintain a record of these findings.

One of the issues raised by this matter involves the use of Bravo Zulu (BZ) messages and Letters of Appreciation concerning GDMA, and when the use of the same crosses the line between expressing a permissible "thank you" for meeting contractual

requirements and expressing an impermissible endorsement of, or preference for, GDMA. Understanding that the evidence received thus far only captures a brief snapshot of time, mainly in 2006 and 2007, I am concerned that there may well have been a lack of understanding concerning the ethical rules in this area, especially among some of the Navy's more senior leaders in the Pacific Fleet area of responsibility. While systemic corrective training measures may have been implemented in the interim, I intend to discuss this issue with the Vice Chief of Naval Operations.

The evidence also suggests that there was not a uniform and well established process concerning how opinions are sought from an ethics counselor, how evidence of such opinions are maintained, and how market value determinations of gifts are made. I will engage further on this issue with the Deputy Judge Advocate General of the Navy.

Finally, I note, again during the stated time period of this review, attempts by Navy personnel to circumvent the Navy's oversight responsibilities over contractors. My CDA team will brief Supply Corps senior leaders on the investigation to determine any lessons learned.



J. M. RICHARDSON

Attachments:
As stated

cc:
VCNO
DJAG



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0198

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM MICHAEL H. MILLER, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006, while acting as Commander, Carrier Strike Group SEVEN (CSG-7), RADM Michael H. Miller, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source for gifts per references (b) and (c). Further, through his repeated and increasingly familiar contacts with Mr. Francis, RADM Miller failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers, and established, throughout the deployment of USS RONALD REAGAN (CVN-76), a weak ethical tone which permeated the senior leadership of the Strike Group.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as Commander, Carrier Strike Group SEVEN (CCSG-7), RADM Miller accepted the improper gift of a ship model from Mr. Francis by paying less than the market value;

b. On 9 Feb 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

Subj: ADVERSE INFORMATION ICO RADM MICHAEL H. MILLER, USN

c. On 4 Jun 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur, Malaysia from Mr. Francis by paying less than the market value;

d. On or about 5 Jun 2006, while serving as CCSG-7, RADM Miller solicited the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis;

e. On 11 Jun 2006, while serving as CCSG-7, RADM Miller accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

f. While serving as CCSG-7, RADM Miller improperly endorsed Mr. Francis and GDMA with Bravo Zulu (BZ) messages and Letters of Appreciation; and

g. While serving as CCSG-7, RADM Miller violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted numerous gifts from Mr. Francis.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) or [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0200

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RADM TERRY B. KRAFT, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Terry B. Kraft, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source for gifts per references (b) and (c). Through his repeated acceptance of improper gifts from Mr. Francis, RADM Kraft failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers, and established, throughout the deployment of USS RONALD REAGAN (CVN-76), a weak ethical tone which permeated his command.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

b. On 4 Jun 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur, Malaysia from Mr. Francis by paying less than the market value;

Subj: ADVERSE INFORMATION ICO RADM TERRY B. KRAFT, USN

c. On 11 Jun 2006, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

d. On 10 Mar 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

e. In March 2007, while serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft improperly endorsed Mr. Francis and GDMA with a Bravo Zulu (BZ) message; and

f. While serving as Commanding Officer, USS RONALD REAGAN (CVN 76), RADM Kraft violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted gifts from Mr. Francis.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) or
[REDACTED] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:

CNP [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0202

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO RDML DAVID R. PIMPO, SC, USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014

(b) 5 C.F.R. § 2635.202

(c) 5 C.F.R. § 2635.203

(d) Article 0802, U.S. Navy Regulations

(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while serving as the Supply Officer for USS RONALD REAGAN (CVN 76), RDML David R. Pimpo, SC, USN, exercised very poor judgment regarding his relationship with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c). Through his repeated acceptance of improper gifts from Mr. Francis, RDML Pimpo failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers and their subordinates.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Singapore from Mr. Francis by paying less than the market value;

b. On 19 May 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo solicited and accepted the improper gift of lodging reservation services in Hong Kong for himself and (b)(6), (b)(7)(C) and select officers and (b)(6), (b)(7)(C) from GDMA;

Subj: ADVERSE INFORMATION ICO RDML DAVID R. PIMPO, USN

c. On 9 Jun 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of sightseeing and shopping tour services for (b)(6), (b)(7)(C) and (b)(6), (b)(7)(C) of other select officers, in Hong Kong from GDMA;

d. On 11 Jun 2006, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

e. On 22 Feb 2007, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo solicited and later accepted the improper gift of lodging reservation services in Hong Kong for himself, and select officers, from GDMA;

f. On 10 Mar 2007, while serving as the Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Mr. Francis by paying less than the market value;

g. On 6 Aug 2007, RDML Pimpo accepted the improper gift of large and small ship models of the USS RONALD REAGAN (CVN 76) from Mr. Francis by paying less than the market value;

h. While serving as Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which he accepted numerous gifts from Mr. Francis.

i. While serving as Supply Officer, USS RONALD REAGAN (CVN 76), RDML Pimpo improperly accepted gifts in return for being influenced in the performance of his official acts.

3. The above findings constitute adverse information in accordance with reference (e).

4. My point of contact for this matter is (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C)@navy.mil.


J. M. RICHARDSON

Copy to:
CNP (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (NSA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8010

5800

Ser 08B-MP/0208

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that in 2006 and 2007, while [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) exercised poor judgment by attending multiple dinners with Mr. Leonard Francis, the President of GDMA, a defense contractor and, therefore, a prohibited source per references (b) and (c). Through [REDACTED] (b)(6), (b)(7)(C) repeated acceptance of improper gifts from Mr. Francis, [REDACTED] (b)(6), (b)(7)(C) failed to display the requisite leadership by personal example that is required by reference (d) of all commanding officers and their subordinates.

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Singapore from Francis.

b. On 4 June 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur from Francis.

c. On 11 June 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Francis.

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

d. On 10 Mar 2007, while serving as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Hong Kong from Francis.

e. While serving as [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) violated the Standards of Ethical Conduct, which are applicable to all employees of the Executive Branch of the U.S. Government, due to the frequency in which [redacted] (b)(6), (b)(7)(C) accepted numerous gifts from Francis.

3. The above findings constitute adverse information in accordance with reference (e). While the allegations are substantiated, it is important to understand the context of the events and place them in the proper perspective. I have concluded that there are significant mitigating factors, including:

- [redacted] (b)(7)(A)
- [redacted]
- [redacted]
- [redacted]
- [redacted]

[redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) @navy.mil.


J. M. RICHARDSON

Copy to:
CNP [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

NAVAL NUCLEAR PROPULSION PROGRAM
NAVAL SEA SYSTEMS COMMAND (SEA 08)
1333 ISAAC HULL AVENUE SE
WASHINGTON NAVY YARD DC 20376-8910

5800

Ser 08B-MP/0206

13 Feb 15

From: Commander, Military Personnel Detachment, Office of Naval Reactors, Department of Energy

To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) VCNO CDA Memo dtd 28 Mar 2014
(b) 5 C.F.R. § 2635.202
(c) 5 C.F.R. § 2635.203
(d) Article 0802, U.S. Navy Regulations
(e) DoDI 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I determined that a preponderance of the evidence substantiates that from Apr 2005 to Sep 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) on deployment with Carrier Strike Group SEVEN (CCSG-7), [REDACTED] (b)(6), (b)(7)(C) USN, displayed poor judgment when [REDACTED] (b)(6), (b)(7)(C) maintained an overly-friendly relationship with and accepted improper gifts in the form of extravagant dinner events from Mr. Leonard Francis, the President of GDMA, a defense contractor and a prohibited source per references (b) and (c). [REDACTED] (b)(6), (b)(7)(C) failed to demonstrate the proper ethical example for other officers in accordance with reference (d).

2. More specifically, I determined that the evidence substantiates the following:

a. On 9 Feb 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Singapore from GDMA, a prohibited source.

b. On 4 Jun 2006, while serving as [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of a GDMA-hosted dinner party in Kuala Lumpur from GDMA, a prohibited source.

3. The above findings constitute adverse information in accordance with reference (e). While the allegations are substantiated, it is important to understand the context of the

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

events and place them in the proper perspective. I have concluded that there are significant mitigating factors, including:

- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]

(b)(7)(A)

[redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

4. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) or [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.



J. M. RICHARDSON

Copy to:
CNP [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 055
18 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate any misconduct against [REDACTED] USN, while serving as [REDACTED] on board USS NIMITZ (CVN 68) in 2007.

2. There is some evidence that [REDACTED] received the improper gift of a discounted hotel room, on or about 21 August 2007, in excess of ethical limits, from GDMA, a prohibited source. However, after a review of all the information provided, there was insufficient evidence to determine that [REDACTED] in fact, received a discounted hotel room [REDACTED].
[REDACTED]
[REDACTED] In addition, [REDACTED] decision to speak with another individual regarding the ongoing investigation, despite a request from investigators that [REDACTED] not do so, did not, under the circumstances, amount to misconduct. As such, I determined that it would be inappropriate to substantiate these allegations.

3. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [REDACTED] provided any money to any party, including GDMA, for or in connection with any gift. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

4. My point of contact for this matter is [REDACTED] may be reached at [REDACTED]@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS [REDACTED]
NCIS [REDACTED]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/054
 18 Nov 16

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007.

2. On or about August 2007, [redacted] (b)(6), (b)(7)(C) may have received a discounted hotel room and a dinner, in excess of ethical limits, from GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to the dinner events.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at dinner [redacted] (b)(7)(A) [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for [redacted] (b)(6), (b)(7)(C) to attend. Further, given GDMA's deceptive practices and gift-giving tactics, it is reasonable to conclude that [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(A), (b)(7)(C) [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [redacted] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for or in connection with the gifts. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.


 P. S. DAVIDSON

Copy to:
 VCNO (N09BL)
 CNP (N00L)
 DCIS
 NCIS [redacted] (b)(6), (b)(7)(C)
 160032



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/053
18 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against (b)(6), (b)(7)(C) USN, while serving as the (b)(6), (b)(7)(C) onboard the USS George Washington, during a port visit in Singapore in 2009.

2. On or about 5 August 2009, (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. However, (b)(6), (b)(7)(C) took immediate corrective actions, brought the dinner to the attention of the (b)(6), (b)(7)(C) Staff Judge Advocate (SJA) and then paid the determined fair market value for the meal.

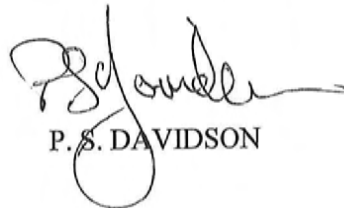
3. Based on all the facts and circumstances known to me for this allegation, I determined that because

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(C) did exactly what is expected of senior officers. When (b)(6), (b)(7)(C) saw something that deviated from (b)(6), (b)(7)(C) ethics training, (b)(6), (b)(7)(C) spoke up and sought the SJA's advice. This is a fine example for our officers and Sailors.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/51
10 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C) of USS BRIDGE (AOE 10) during a port visit in Singapore in 2003.

2. On or about September and October 2003, [REDACTED] (b)(6), (b)(7)(C) attended two dinner events in Singapore with a market value in excess of ethical limits that were paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to the dinner events. [REDACTED] (b)(6), (b)(7)(C) also provided a letter of appreciation to GDMA for services provided during the port visit.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [REDACTED] (b)(6), (b)(7)(C) held an honest and reasonable belief that [REDACTED] (b)(7)(A) attendance at the dinners [REDACTED] (b)(6), (b)(7)(C) and accordingly, that it was ethically permissible for [REDACTED] (b)(6), (b)(7)(C) to attend. In addition, I determined that the provision of a letter of appreciation from [REDACTED] (b)(6), (b)(7)(C) did not amount to an improper endorsement under ref (c). As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the dinners. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.

P.S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED] (b)(6), (b)(7)(C)
DCIS [REDACTED] (b)(6), (b)(7)(C)
000034



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/50
3 Nov 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO NIMITZ 03 MEMBERS IN ATTENDANCE AT
DINNER(S) AND/OR GOLF

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

Encl: (1) List of Officers Who Attended One Dinner
(2) List of Officers Who Attended Two Dinners
(3) List of Officers Who attended Dinner(s) and Golf

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against a number of individuals who were in attendance at the dinner(s) and/ or golf event hosted by GDMA during USS NIMITZ Strike Group's port visits to Singapore in September and October 2003.

2. On or about 14 September 2003, several senior officers of the USS NIMITZ Strike Group attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that the Safe Harbor provision contained in reference (c) applied to this dinner event for the individuals listed in the enclosures, particularly because the

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(7)(A)

3. On or about 9 October 2003, several senior officers of the USS NIMITZ Strike Group attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the exceptions contained in reference (c) applied to this dinner event; however, based on all the facts and circumstances, I determined that the individuals held an honest and reasonable belief that their attendance at the 9 October dinner


(b)(6), (b)(7)(A), (b)(7)(C)

4. On or about 8 October 2003, two officers of the USS NIMITZ Strike Group attended a golf event. Although the event was organized by GDMA, a prohibited source, I determined that this event was not a gift because the officers who attended paid market value for the golf fees and associated meal.

Subj: REPORTABLE INFORMATION ICO NIMITZ 03 MEMBERS IN ATTENDANCE AT DINNER(S) AND/OR GOLF

5. There is no evidence that any of the individuals listed in the enclosures provided any money to any party, including GDMA, for, or in connection with, any of the dinners. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

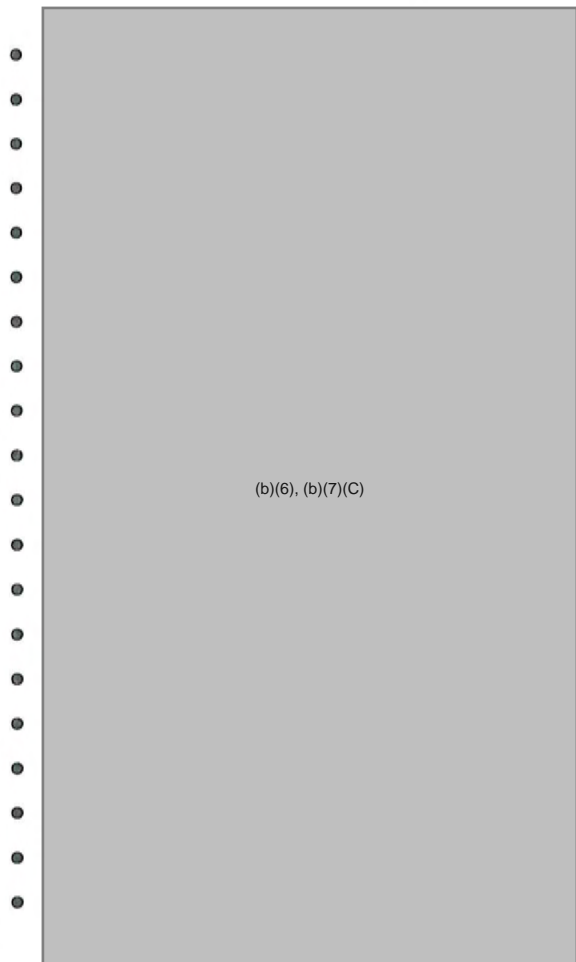
6. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is (b)(6), (b)(7)(C) He may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS
DCIS (b)(6), (b)(7)(C)

Officers Who Attended One Dinner



Enclosure (1)

Officers Who Attended Both Dinners

- -
 -
- 
- A large rectangular grey box redacting the names of the officers. The text "(b)(6), (b)(7)(C)" is centered within this box.
- (b)(6), (b)(7)(C)

Enclosure (2)

Officers Who Attended Dinner(s) and Golf

- [REDACTED]
- [REDACTED]

(b)(6), (b)(7)(C)

Enclosure (3)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 48
21 Oct 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

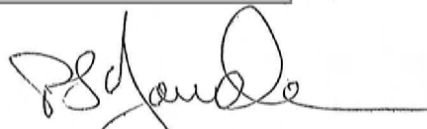
1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [REDACTED] USN, while serving as the [REDACTED] of USS PRINCETON (CG 59) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, [REDACTED] attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [REDACTED] held an honest and reasonable belief that [REDACTED] attendance at the dinner was [REDACTED] and accordingly, that it was ethically permissible for [REDACTED] to attend. Based on [REDACTED] position and knowledge at the time, I also determined that [REDACTED]. As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [REDACTED] provided any money to any party, including GDMA, for, or in connection with, the 9 October 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [REDACTED] may be reached at [REDACTED] [REDACTED]@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED]
DCIS [REDACTED]

000040



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 47
30 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] USN, while serving as [REDACTED] on board USS NIMITZ (CVN 68) in 2007. There was absolutely no evidence that [REDACTED] took any official action on behalf of or to benefit GDMA, nor was [REDACTED] requested to do so.

2. Evidence provided by the Department of Justice showed that in August 2007, [REDACTED] received the improper gift of a discounted hotel room from GDMA, a prohibited source, in Hong Kong. I determined that none of the gift exceptions contained in reference (c) apply.

3. I determined there was insufficient evidence to substantiate allegations that [REDACTED] accepted the gift of a discounted hotel room from GDMA. [REDACTED] Moreover, GDMA engaged in deceptive practices to [REDACTED] As such, it would be inappropriate to substantiate this allegation.

4. The above findings constitute reportable information in accordance with reference (d). Within my authority as CDA, I consider this matter to be closed. There is no evidence that [REDACTED] provided any money to any party, including GDMA, for, or in connection with, the hotel discount. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/46
30 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) USN, while serving as [REDACTED] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68) in 2007. There was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. Evidence provided by the Department of Justice showed that in August 2007, [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) received the improper gifts of discounted hotel rooms from GDMA, a prohibited source, for [REDACTED] (b)(6), (b)(7)(C) in Hong Kong and Singapore, as well as the gift of transportation from GDMA [REDACTED] (b)(6), (b)(7)(C). I determined that none of the gift exceptions contained in reference (c) apply to any of these gifts.

3. I determined there was insufficient evidence to substantiate allegations that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) accepted the gifts of discounted hotel rooms and transportation from GDMA. [REDACTED] (b)(6), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

[REDACTED] (b)(7)(A)

Moreover, GDMA engaged in deceptive practices [REDACTED] (b)(7)(A)

[REDACTED] (b)(7)(A)

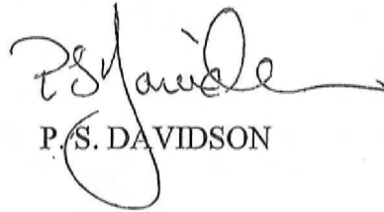
[REDACTED] (b)(7)(A)

Additionally, there is no evidence to support that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) the transportation for [REDACTED] (b)(6), (b)(7)(C) was a gift from GDMA. As such, it would be inappropriate to substantiate these allegations.

4. The above findings constitute reportable information in accordance with reference (d). Within my authority as CDA, I consider this matter to be closed. There is no evidence that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the discounted hotel rooms and transportation. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
DCIS [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800

Ser CDA/45.

30 Sep 16

From: Commander, United States Fleet Forces Command

To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN, (b)(6), (b)(7)(C)
(b)(6), (b)(7)(C) AND (b)(6), (b)(7)(C)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68); (b)(6), (b)(7)(C) from 2007, while serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN68); and, (b)(6), (b)(7)(C) from 2007, while (b)(6), (b)(7)(C) was serving as the (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined, by a preponderance of the evidence, these officers paid for their hotel rooms in Hong Kong and did not receive any gift of discounted lodging from GDMA. There was absolutely no evidence that any of these officers took any official action on behalf of or to benefit GDMA, nor were they requested to do so.

2. Within my authority as CDA, I consider this matter to be closed.

3. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS
DCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1662 MITSCHER AVENUE SUITE 260
NORFOLK VA 23551-2487

5800
Ser CDA/044
20 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as the [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, [redacted] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances known to me for this allegation, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinner was [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for [redacted] (b)(6), (b)(7)(C) to attend. [redacted] (b)(7)(A) [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). There is no evidence that [redacted] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the 9 October 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.

P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 043
20 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against a number of individuals who were in attendance at a dinner hosted by GDMA during an Expeditionary Strike Group ONE port visit to Singapore in September 2003.

2. On or about 20 September 2003, the following individuals attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source:

- a.
- b.
- c.
- d.
- e.
- f. (b)(6), (b)(7)(C)
- g.
- h.
- i.
- j.
- k.

I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that the above named individuals held an honest and reasonable belief that their attendance at the dinner was (b)(7)(A)

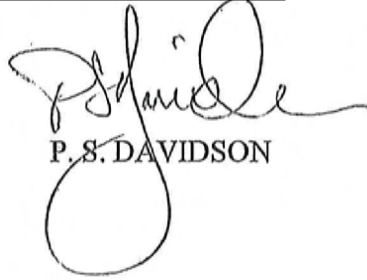
(b)(6), (b)(7)(C) and accordingly, that it was ethically permissible for them to attend. (b)(7)(A)

(b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against any of the above listed individuals.

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

4. There is no evidence that any of the individuals listed above provided any money to any party, including GDMA, for, or in connection with, the 20 September 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED]
DCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/041
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) from 2007, while [REDACTED] (b)(6), (b)(7)(C) was serving as the [REDACTED] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) solicited the improper gift of discounted lodging for others in Hong Kong and Singapore from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. Between 19 July 2007 and 1 September 2007, [REDACTED] (b)(6), (b)(7)(C) solicited hotel rooms for senior officers with CSG-11 directly from GDMA. [REDACTED] (b)(6), (b)(7)(C) knew or reasonably should have known that the lodging [REDACTED] (b)(6), (b)(7)(C) solicited in Hong Kong and Singapore included special discounts, and that those discounts were coming from GDMA. While there is no evidence that [REDACTED] (b)(6), (b)(7)(C) knew the full extent of the discounts actually being given, or that GDMA was actually paying for portions of the rooms itself, [REDACTED] (b)(6), (b)(7)(C) was given more than sufficient notice, through [REDACTED] (b)(6), (b)(7)(C) communications with GDMA, that the rooms were being offered at discounted rates, and that those discounts were being provided to the officers by a defense contractor. While the government's contract with GDMA for Singapore and Hong Kong did cover assistance with booking hotel accommodations, it only provided for that service where there was no other contractor engaged to provide it, such as MWR. MWR was operating in both Hong Kong and Singapore. [REDACTED] (b)(6), (b)(7)(C) could not have had an honest and reasonable belief that it was within ethical guidelines to solicit discounts from GDMA, particularly in the form of special "upgrades" and deals offered only to certain officers related to their positions.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

[redacted] (b)(7)(A)

4. I addressed this personally with [redacted] (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. I do not recommend that [redacted] (b)(6), (b)(7)(C) be re-considered for retirement grade determination. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted]
DCIS [redacted] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/040
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO Itr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] from 2007, while [REDACTED] was serving as [REDACTED] on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [REDACTED] improperly accepted the gift of a bottle of wine and brunch from GDMA, improperly solicited discounted hotel lodging in Singapore for others from GDMA, and was derelict in the performance of [REDACTED] duties for negligently failing to prevent [REDACTED] from soliciting improper gifts of discounted hotel lodging for others from GDMA. However, there was absolutely no evidence that [REDACTED] took any official action on behalf of or to benefit GDMA, nor was [REDACTED] requested to do so.

2. Between 19 July 2007 and 1 September 2007, a [REDACTED] solicited hotel rooms for senior officers at discounted rates from GDMA, a prohibited source. Not only was [REDACTED] directly involved in the solicitation of the gift of discounted hotel lodging, [REDACTED] knew or should have known that a [REDACTED] and another [REDACTED] were soliciting gifts from GDMA and failed to intervene. [REDACTED] unquestionably had a duty to ensure that [REDACTED] and its personnel conducted their business in accordance with the applicable ethical rules. In allowing this [REDACTED] in the [REDACTED] to solicit improper gifts from GDMA, [REDACTED] was derelict in the performance of that duty, and that dereliction can be attributed to neglect.

3. Additionally, [REDACTED] accepted the improper gift of a bottle of wine and brunch, in excess of ethical limits, from GDMA. Although [REDACTED] does not admit to accepting these gifts from GDMA the evidence I have reviewed shows that [REDACTED] knowingly accepted the gifts. Therefore, these allegations are substantiated.

4. The above finding constitutes adverse information in accordance with reference (d). I addressed this personally with [REDACTED] through administrative action within my authority as CDA, and consider this matter to be closed. I considered all potential and appropriate

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP ([redacted])
NCIS ([redacted]) (b)(6), (b)(7)(C)
DCIS ([redacted])



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 039
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/ 16U112936 of 29 Aug 2016
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) from 2007, while [REDACTED] (b)(6), (b)(7)(C) was serving as [REDACTED] (b)(6), (b)(7)(C) on board USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) improperly accepted the gift of a bottle of wine from GDMA; was derelict in the performance of [REDACTED] (b)(6), (b)(7)(C) duties for negligently failing to prevent [REDACTED] (b)(7)(A) from soliciting improper gifts of discounted hotel lodging for others from GDMA, and patronized a prostitute while in port in Singapore. However, there was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. Between 19 July 2007 and 1 September 2007, [REDACTED] (b)(6), (b)(7)(C) solicited hotel rooms for senior officers at discounted rates from GDMA, a prohibited source. Although [REDACTED] (b)(6), (b)(7)(C) was not directly involved in the solicitation of the gift, [REDACTED] (b)(6), (b)(7)(C) was aware [REDACTED] (b)(6), (b)(7)(C) were soliciting discounted hotel rooms from GDMA and failed to intervene. [REDACTED] (b)(6), (b)(7)(C) unquestionably had a duty to ensure that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) conducted their business in accordance with the applicable ethical rules. [REDACTED] (b)(6), (b)(7)(C) was derelict in the performance of that duty, and that dereliction can be attributed to neglect.

3. Additionally, and by [REDACTED] (b)(6), (b)(7)(C) own admission, [REDACTED] (b)(6), (b)(7)(C) accepted the improper gift of wine, in excess of ethical limits, from GDMA and patronized a prostitute. Therefore, these allegations are substantiated.

4. The above finding constitutes adverse information in accordance with reference (d). I addressed this personally with [REDACTED] (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. I recommend that [REDACTED] (b)(6), (b)(7)(C) retire in [REDACTED] (b)(6), (b)(7)(C) current paygrade. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 038
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

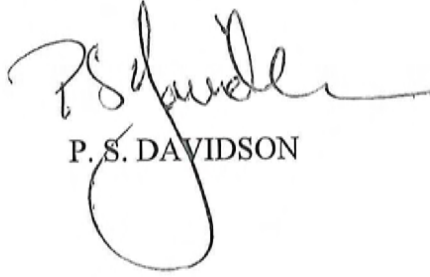
Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C)
2. On 20 September 2003, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner event was approximately \$880 per person. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. [REDACTED] (b)(7)(A) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) As such, I unsubstantiated the allegation of the inappropriate gift of this dinner. However, as the evidence does not show any corrective action following the dinner, I personally counseled [REDACTED] (b)(6), (b)(7)(C)
3. I also unsubstantiated other allegations against [REDACTED] (b)(6), (b)(7)(C) including that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) a prostitute, received the gift of a pewter nameplate from GDMA, and that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) improperly endorsed GDMA with a letter of appreciation. There is either no evidence or very little evidence to support these allegations.
4. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. [REDACTED] (b)(6), (b)(7)(C) is an outstanding officer who should be allowed to retire in grade.

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

5. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [REDACTED] (b)(6), (b)(7)(C)
NCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 037
1 Sep 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) USN, while serving as [REDACTED] (b)(6), (b)(7)(C) in 2007.

2. On 29 August 2007, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was between \$730 - \$1095 per person. Additionally, evidence provided by the Department of Justice showed that between August and September 2007, [REDACTED] (b)(7)(A) received the improper gifts of discounted hotel rooms from GDMA for [REDACTED] (b)(6), (b)(7)(C) in Hong Kong and Singapore, as well as the gift of transportation from GDMA for [REDACTED] (b)(7)(A). I determined that none of the gift exceptions contained in reference (c) apply to any of these gifts.

3. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(6), (b)(7)(C) the evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A). As such, I determined it would be inappropriate to substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) in regard to this dinner.

4. I further determined there was insufficient evidence to substantiate allegations that [REDACTED] (b)(6), (b)(7)(C) accepted the gifts of discounted hotel rooms and transportation from GDMA. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)
[REDACTED] (b)(7)(A) Moreover, GDMA engaged in deceptive practices [REDACTED] (b)(7)(A)
[REDACTED] (b)(7)(A)

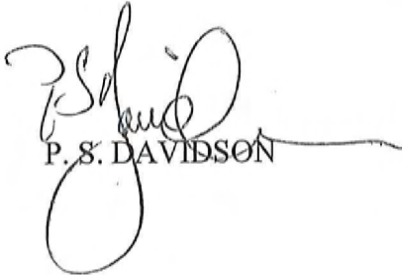
Additionally, there is no evidence to support that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) the transportation for [REDACTED] (b)(6), (b)(7)(C) was a gift from GDMA. As such, it would be inappropriate to substantiate these allegations.

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(C) USN

5. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received.

6. I personally counseled (b)(6), (b)(7)(C) on this matter, required (b)(6), (b)(7)(C) to receive standards of conduct training, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

7. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
DCIS
NCIS (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/33
31 August 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

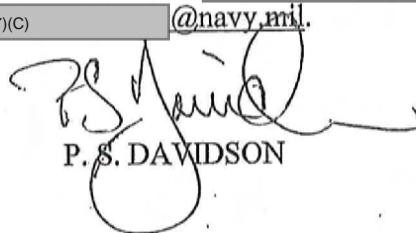
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 9 October 2003, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that [REDACTED] (b)(6), (b)(7)(C) held an honest and reasonable belief that [REDACTED] (b)(7)(A) attendance at the dinner was [REDACTED] (b)(6), (b)(7)(C) and accordingly, that it was ethically permissible for [REDACTED] (b)(6), (b)(7)(C) to attend. Based on [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) continues to be a significant contributor and valued officer in the Navy.

4. The above findings constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS [REDACTED] (b)(6), (b)(7)(C)
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/032
24 Aug 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) accepted improper gifts of flowers, a Royal Selangor pewter tankard and a vase from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) took any official action on behalf of, or to benefit, GDMA, nor was [REDACTED] (b)(6), (b)(7)(C) requested to do so.

2. On or about 20 September 2003, [REDACTED] (b)(6), (b)(7)(C) attended a dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. However, based on all the facts and circumstances, I also determined that [REDACTED] (b)(6), (b)(7)(C) held an honest and reasonable belief that [REDACTED] (b)(6), (b)(7)(C) attendance at the dinner was [REDACTED] (b)(7)(A) [REDACTED] (b)(6), (b)(7)(C) Further, [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) [REDACTED] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [REDACTED] (b)(6), (b)(7)(C) in regards to [REDACTED] (b)(6), (b)(7)(C) attendance at this dinner event.

3. However, following the dinner event, [REDACTED] (b)(6), (b)(7)(C) accepted gifts of flowers, a pewter tankard and vase directly from Mr. Leonard Francis, President of GDMA. I determined that none of the gift exceptions contained in reference (c) applied to these gifts, even considering a unique and bona fide connection that existed between [REDACTED] (b)(6) whereby [REDACTED] (b)(6) Although the value of the items was minor, not substantial in light of GDMA's past lavish gift practices, their acceptance constituted a technical violation of reference (c).

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

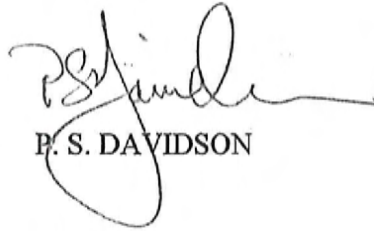
4. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]
- [redacted]

(b)(6), (b)(7)(A), (b)(7)(C)

5. I addressed this personally with [redacted] (b)(6), (b)(7)(C) through counseling and consider this matter to be closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received. [redacted] (b)(6), (b)(7)(C) is an outstanding officer who should be allowed to retire in grade.

6. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/028
4 Aug 16

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] from 2007, while [redacted] was serving as [redacted] USS NIMITZ (CVN 68). I determined that a preponderance of the evidence substantiates that [redacted] accepted an improper gift of a dinner event from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [redacted] took any official action on behalf of or to benefit GDMA, nor was [redacted] requested to do so.

2. On 29 August 2007, [redacted] attended a dinner event in Singapore that was paid for by GDMA. The value of the dinner was in excess of ethical limits (estimated value of at least \$730 per person) and none of the gift exceptions in reference (c) applied. I did determine that there was mitigating evidence in that the [redacted] and attendance was permissible. However, the evidence also established that [redacted] had access to a staff judge advocate [redacted] was familiar with GDMA and husbanding agents, had received ethics training, knew – at the time – that acceptance of gifts from GDMA was not permissible, and that [redacted] took no remedial action following this dinner.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I determined that there are other significant mitigating factors, including:

- [redacted]
- [redacted]
- [redacted]
- [redacted]

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

4. I addressed this personally with [redacted] (b)(6), (b)(7)(C) through administrative action within my authority as CDA, and consider this matter to be closed. [redacted] (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

5. My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) @navy.mil.



P.S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP [redacted]
NCIS [redacted] (b)(6), (b)(7)(C)
DCIS [redacted]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/026
 1 July 2016

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

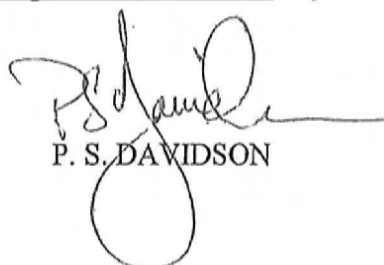
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO CDA Memo dtd 1 Oct 2015
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any misconduct against [redacted] (b)(6), (b)(7)(C) USN, while serving as [redacted] (b)(6), (b)(7)(C) during a port visit in Singapore in 2003.

2. On or about 20 September 2003, [redacted] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that [redacted] (b)(6), (b)(7)(C) held an honest and reasonable belief that [redacted] (b)(6), (b)(7)(C) attendance at the dinner was [redacted] (b)(7)(A) and accordingly, that it was ethically permissible for [redacted] (b)(6), (b)(7)(C) to attend. Based on [redacted] (b)(7)(A) [redacted] (b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against [redacted] (b)(6), (b)(7)(C)

4. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [redacted] (b)(6), (b)(7)(C) may be reached at [redacted] (b)(6), (b)(7)(C) [redacted] (b)(6), (b)(7)(C) @navy.mil.


 P. S. DAVIDSON

Copy to:
 VCNO (N09BL)
 CNP [redacted]
 NCIS [redacted] (b)(6), (b)(7)(C)
 DCIS [redacted]



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/024
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C)
USN, IN THE GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] (b)(6), (b)(7)(C) from 2013 while [REDACTED] (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

2. I determined that a preponderance of the evidence substantiates that [REDACTED] (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, on several occasions. Specifically, I substantiated that [REDACTED] (b)(6), (b)(7)(C) between June and August of 2013, improperly accepted discounted hotel accommodations in Kuala Lumpur, Malaysia; Jakarta, Indonesia; Darwin, Australia; and Busan, South Korea; as well as transportation in Kuala Lumpur and Busan. I determined that acceptance of these gifts was a violation of reference (c), and none of the gift exceptions in reference (d) applied.

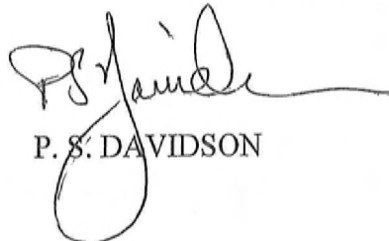
3. While the allegations set forth above are substantiated, it is important to place them in proper context. [REDACTED] (b)(6), (b)(7)(C) received these unsolicited benefits through [REDACTED] (b)(6), (b)(7)(C)

[REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(7)(A) Additionally, I note that there is absolutely no evidence that [REDACTED] (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA.

[REDACTED] (b)(6), (b)(7)(C)

4. I personally counseled [REDACTED] (b)(6), (b)(7)(C) on these issues and consider this matter to be closed.

5. My point of contact is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C)
USN, IN THE GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:
VCNO (N09BL)
NCIS [REDACTED]
DCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/023
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2013, while (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

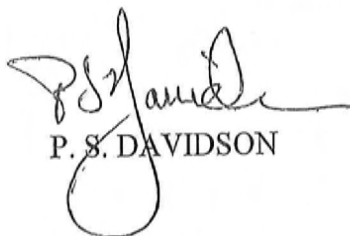
2. I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, in June and July of 2013, by improperly accepting discounted hotel accommodations and transportation in Kuala Lumpur, Malaysia and Jakarta, Indonesia; and discounted hotel accommodations in Darwin, Australia. I determined that acceptance of these gifts was a violation of reference (c), and none of the gift exceptions in reference (d) applied.

3. While the allegations set forth above are substantiated, it is important to place them in proper context. (b)(6), (b)(7)(C) received these unsolicited benefits through (b)(7)(A) (b)(7)(A) (b)(7)(A). Additionally, I note that there is absolutely no evidence that (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA. (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

4. I personally counseled (b)(6), (b)(7)(C) on these issues, required (b)(6), (b)(7)(C) to complete ethics training with my Staff Judge Advocate, and consider this matter to be closed. (b)(6), (b)(7)(C) should be allowed to continue serving in the Navy and this finding should not be used to impact any future advancements or career progression opportunities.

5. My point of contact is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO [REDACTED] USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:

VCNO (N09BL)

NCIS

[REDACTED]
(b)(6), (b)(7)(C)

DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/022
27 June 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: SUBSTANTIATED DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) DoD 5500.07-R (The Joint Ethics Regulation), subsection 1-300
(d) 5 C.F.R. § 2635

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I reviewed evidence of potential misconduct against (b)(6), (b)(7)(C) from 2013, while (b)(6), (b)(7)(C) was serving aboard USS BLUE RIDGE (LCC 19).

2. I determined that a preponderance of the evidence substantiates that (b)(6), (b)(7)(C) displayed poor judgment in accepting discounted hotel accommodations and transportation from GDMA, a prohibited source, on several occasions. Specifically, I substantiated that (b)(6), (b)(7)(C) in June 2013, improperly accepted discounted hotel accommodations and transportation in Kuala Lumpur, Malaysia and Jakarta, Indonesia. I determined that acceptance of these gifts was a violation of reference (c), and that none of the gift exceptions in reference (d) applied.

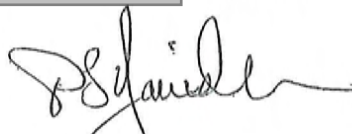
3. While the allegations set forth above are substantiated, it is important to place them in proper context. (b)(6), (b)(7)(C) received these unsolicited benefits through (b)(7)(A)

(b)(7)(A) (b)(7)(A) Additionally, I note that there is absolutely no evidence that (b)(6), (b)(7)(C) ever provided any information to GDMA, took any official action for or to benefit GDMA, or had any type of relationship with GDMA. (b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)

4. I personally counseled (b)(6), (b)(7)(C) on these issues, required (b)(6), (b)(7)(C) to complete ethics training with my Staff Judge Advocate, and consider this matter to be closed. (b)(6), (b)(7)(C) should be allowed to continue serving in the Navy and this finding should not be used to impact any future advancements or career progression opportunities.

5. My point of contact is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)@navy.mil.


P/S. DAVIDSON

Subj: SUBSTANTIATED DETERMINATION ICO [REDACTED] USN, IN THE
GLENN DEFENSE MARINE ASIA (GDMA) MATTER

Copy to:
VCNO (N09BL)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/017
 22 June 2016

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [redacted] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO CDA Memo dtd 1 Oct 2015
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I determined that a preponderance of the evidence does not substantiate misconduct by [redacted] USN, while serving as [redacted] to [redacted] in 2007.

2. The evidence provided by the Department of Justice shows that in July and August 2007, [redacted] worked directly with representatives of GDMA to arrange hotel rooms for port visits in Hong Kong and Singapore for a group of senior officers, including [redacted]. The evidence shows that the hotel rooms were discounted by GDMA and none of the gift exceptions contained in reference (c) applied.

3. I determined there was insufficient evidence to establish that [redacted] conduct was wrongful, as [redacted] was a [redacted] representative [redacted] in the USS NIMITZ (CVN 68) [redacted] and conducted [redacted] interactions with GDMA representatives with full transparency of the NIMITZ [redacted]. It is clear that [redacted] believed at the time that such action was appropriate and consistent with standard procedures. Moreover, GDMA engaged in deceptive practices in this case [redacted].

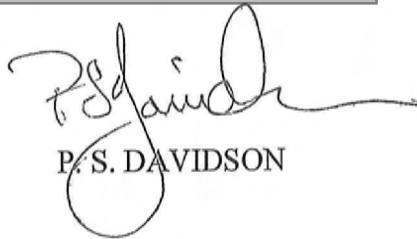
[redacted] Additionally, [redacted] was fully cooperative and forthcoming in multiple interactions with investigators. As such, I determined that it would be inappropriate to substantiate misconduct by [redacted].

4. The above findings constitute reportable information in accordance with reference (d).

5. I personally counseled [redacted] on this matter, required [redacted] to receive standards of conduct training, and consider this matter to be closed. [redacted] continues to be a significant contributor and valued officer in the Navy.

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

6. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
DCIS [REDACTED] (b)(6), (b)(7)(C)
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

5800
 Ser CDA/007
 23 March 2016

From: Commander, United States Fleet Forces Command
 To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [redacted] (b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
 (b) VCNO CDA Memo dtd 1 Oct 2015
 (c) 5 C.F.R. § 2635
 (d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [redacted] (b)(6), (b)(7)(C) from 2007, while [redacted] (b)(6), (b)(7)(C) was serving as [redacted] (b)(6), (b)(7)(C). I determined that a preponderance of the evidence substantiates that [redacted] (b)(6), (b)(7)(C) displayed poor judgment in accepting an improper gift of a dinner event from GDMA, a defense contractor and prohibited source. However, there was absolutely no evidence that [redacted] (b)(6), (b)(7)(C) took any official action on behalf of or to benefit GDMA, nor was [redacted] (b)(6), (b)(7)(C) requested to do so.

2. On 29 August 2007, [redacted] (b)(6), (b)(7)(C) attended a dinner event in Singapore that was paid for by GDMA. The value of the dinner was in excess of ethical limits (estimated value of at least \$730 per person) and none of the gift exceptions in reference (c) applied. I did determine that there was mitigating evidence in that [redacted] (b)(7)(A)

[redacted] (b)(6), (b)(7)(A), (b)(7)(C) and attendance was permissible. However, the evidence also established that [redacted] (b)(6), (b)(7)(C) had an assigned staff judge advocate, had received ethics training, knew – at the time – that acceptance of gifts from GDMA was not permissible, and that [redacted] (b)(6), (b)(7)(C) took no remedial action following this dinner.

3. The above finding constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events and place them in the proper perspective. I have determined that there are other significant mitigating factors, including:

- [redacted] (b)(6), (b)(7)(A), (b)(7)(C)
- [redacted]
- [redacted]
- [redacted]

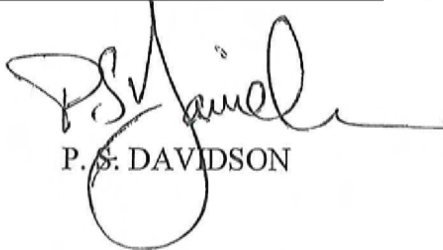
Subj: ADVERSE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

4. I addressed this personally with (b)(6), (b)(7)(C) through administrative action¹ within my authority as CDA, and consider this matter to be closed. (b)(6), (b)(7)(C) continues to be a significant contributor and valued senior leader in the Navy.

5. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP
NCIS (b)(6), (b)(7)(C)

¹ See R.C.M 306(c)(2). Administrative actions include corrective measures such as counseling, admonition, reprimand, exhortation, disapproval, criticism, censure, reproach, rebuke, extra military instruction, or the administrative withholding of privileges, or any combination of the above.



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/005
14 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: DETERMINATION ICO (b)(6), (b)(7)(C) USN, IN THE GLENN DEFENSE
MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I determined that all allegations against (b)(6), (b)(7)(C) USN, are unsubstantiated.
2. The CDA reviewed allegations involving (b)(6), (b)(7)(C) relating to three hotel stays and a van rental paid for by GDMA, and all arranged by (b)(7)(A)
(b)(7)(A)
3. (b)(6), (b)(7)(C) claimed in (b)(6), (b)(7)(C) and other enlisted members, stayed with (b)(6), (b)(7)(C) in hotel rooms paid for by GDMA in Sepangar, Malaysia in April 2010; in Laem Chabang, Thailand in April 2010; and in Hong Kong in February 2011. (b)(6), (b)(7)(C) denies ever staying at a hotel on any port visit in Malaysia, and there is no other evidence establishing that (b)(6), (b)(7)(C) did. (b)(6), (b)(7)(C) admits to staying with (b)(6), (b)(7)(C) in Laem Chabang, but claims that each of the six members staying there contributed to the cost of the hotel room. Notably, (b)(6), (b)(7)(C) who knew GDMA was paying for the room, collected the contributions from his shipmates and presumably pocketed the cash, suggesting that he was motivated to keep the actual costs and funding source a secret. (b)(6), (b)(7)(C) admits to visiting friends in a hotel room in Hong Kong, but says he never stayed overnight or slept there and was not asked to contribute money for the room – no other evidence on this point exists. Accordingly, I determined that there was insufficient evidence to conclude by a preponderance of evidence that (b)(6), (b)(7)(C) knew that (b)(6), (b)(7)(C) was receiving a gift in regards to these hotel rooms or that the hotel rooms were paid for by GDMA.
4. The CDA also reviewed an allegation that (b)(6), (b)(7)(C) arranged a van for (b)(6), (b)(7)(C) in Manila, Philippines in 2012, which may have been paid for by GDMA. However, the only evidence of this is an email chain which does not indicate what, if anything, ever came of (b)(6), (b)(7)(C) request for assistance from GDMA in procuring a van. (b)(6), (b)(7)(C) admits to renting a van in Manila with (b)(6), (b)(7)(C) help, but indicates (b)(6), (b)(7)(C) paid \$150 for it, and there is no other evidence regarding this van rental, its cost or who paid for it. Therefore, I concluded that there is insufficient evidence to substantiate this allegation.
5. Although the evidence was insufficient to establish wrongdoing, I did personally counsel (b)(6), (b)(7)(C) on (b)(6), (b)(7)(C) responsibilities as a (b)(6), (b)(7)(C) and caution (b)(6), (b)(7)(C) on how close (b)(6), (b)(7)(C) was to criminal activity given (b)(6), (b)(7)(C) relationship with (b)(6), (b)(7)(C)

Subj: DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C) USN, IN THE GLENN DEFENSE
MARINE ASIA (GDMA) MATTER

6. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at
[REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/004
14 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

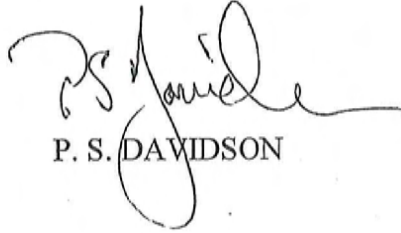
Subj: DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C) USN, IN THE GLENN
DEFENSE MARINE ASIA (GDMA) MATTER

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015

1. As the consolidated disposition authority (CDA) for the subject matter per references (a) and (b), I have determined that all allegations against [REDACTED] (b)(6), (b)(7)(C) USN, are unsubstantiated.
2. In June 2013, the USS BLUE RIDGE (LCC 19) made a port visit to Kuala Lumpur, Malaysia. [REDACTED] (b)(6), (b)(7)(C) accepted an invitation from another enlisted member serving on BLUE RIDGE, [REDACTED] (b)(6), (b)(7)(C) to join with him and two other enlisted members to stay in a hotel ashore. [REDACTED] (b)(6), (b)(7)(C) was asked to contribute cash and did so in the amount of \$450, which [REDACTED] (b)(6), (b)(7)(C) believed was [REDACTED] (b)(6), (b)(7)(C) share of the costs for the hotel bill and van transportation between the ship and the hotel. The hotel room was actually paid for by GDMA, and had been arranged previously by [REDACTED] (b)(6), (b)(7)(C) who has already pled guilty to conspiracy to commit bribery and been criminally sentenced in this matter. While [REDACTED] (b)(6), (b)(7)(C) did stay in the hotel room, there is no evidence that [REDACTED] (b)(6), (b)(7)(C) had knowledge or any reason to believe that it was financed by GDMA.
3. The evidence indicates that the hotel and transportation were arranged by [REDACTED] (b)(6), (b)(7)(C) without [REDACTED] (b)(6), (b)(7)(C) ever having knowledge of the true nature of those arrangements. [REDACTED] (b)(6), (b)(7)(C) came to BLUE RIDGE after [REDACTED] (b)(6), (b)(7)(C) had transferred, and [REDACTED] (b)(6), (b)(7)(C) was not copied on any of the known emails or Facebook messages regarding the arrangements. Moreover, none of the other members claim to have said anything about the arrangement to [REDACTED] (b)(6), (b)(7)(C). In fact, one member characterized [REDACTED] (b)(6), (b)(7)(C) as "an innocent bystander" who "just got caught up" in the other members' plans. The fact that the other members requested payment from [REDACTED] (b)(6), (b)(7)(C) for [REDACTED] (b)(6), (b)(7)(C) "share" of the costs, which [REDACTED] (b)(6), (b)(7)(C) paid, supports the conclusion that [REDACTED] (b)(6), (b)(7)(C) was unaware of the funding source, and that [REDACTED] (b)(6), (b)(7)(C) had a reasonable belief that [REDACTED] (b)(6), (b)(7)(C) was paying [REDACTED] (b)(6), (b)(7)(C) share of fair market value for the hotel room and van transportation. As such, I determined it would be inappropriate to substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C). However, I personally counseled [REDACTED] (b)(6), (b)(7)(C) regarding judgment and leadership, as this liberty incident did involve participation in a group hotel stay with two first class petty officers.

Subj: DETERMINATION ICO [REDACTED] (b)(6), (b)(7)(C) USN, IN THE GLENN
DEFENSE MARINE ASIA (GDMA) MATTER

4. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at
[REDACTED] (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS [REDACTED] (b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/002
1 March 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: REPORTABLE INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

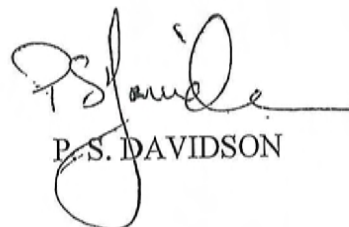
Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I have determined that a preponderance of the evidence does not substantiate any of the allegations of misconduct against [REDACTED] (b)(6), (b)(7)(C) USN, while serving as the [REDACTED] (b)(6), (b)(7)(C)

2. On 29 August 2007, [REDACTED] (b)(6), (b)(7)(C) attended a lavish dinner event in Singapore that was paid for by GDMA, a prohibited source. The estimated value of the dinner was approximately between \$730 - \$1095 per person. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event. There was insufficient evidence to support any other allegation against [REDACTED] (b)(6), (b)(7)(C)

3. Because the [REDACTED] (b)(7)(A) [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) the evidence supports that [REDACTED] (b)(6), (b)(7)(C) had an honest and reasonable belief that the [REDACTED] (b)(7)(A) and that attendance was legally permissible and [REDACTED] (b)(7)(A). As such, I determined it would be inappropriate to substantiate misconduct by [REDACTED] (b)(6), (b)(7)(C) in regard to this dinner. However, as the evidence does not show any corrective action following the dinner, I personally counseled [REDACTED] (b)(6), (b)(7)(C) and required [REDACTED] (b)(6), (b)(7)(C) to complete additional ethics training on the principles of ethical conduct and gifts from outside sources.

4. The above findings constitute reportable information in accordance with reference (d). My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Subj: REPORTABLE INFORMATION ICO

(b)(6), (b)(7)(C)

USN

Copy to:

VCNO (N09BL)

CNP

(b)(6), (b)(7)(C)

NCIS



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/031
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that between on or about 19 July and 1 September 2007, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You solicited gifts from GDMA, a prohibited source; specifically, gifts of discounted hotel lodging for others in Hong Kong and Singapore; and
- You improperly failed to disqualify yourself from participating in the procurement of and payment for services from GDMA during port visits to Hong Kong and Singapore while you were seeking employment with GDMA.

If these ethical violations are found to have occurred, the CDA will take any administrative measures deemed to be appropriate in light of the nature of the violations, any mitigating and/or aggravating factors, and your current duty status.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
 COMMANDER
 U.S. FLEET FORCES COMMAND
 1562 MITSCHER AVENUE SUITE 250
 NORFOLK VA 23551-2487

*This copy was sent
 at this date.*

5800
 Ser CDA' /52
 5 Dec 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that on or about September 2003, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You received an item of monetary value from GDMA, a prohibited source; specifically, a pewter "Kris", with a market value in excess of ethical limits, which you received in Singapore.

Based on our review of information provided by the Defense Criminal Investigative Service (DCIS), we believe that a *Kris* is a knife and that this item was one of the "mementos" that you referenced in an email to Leonard Francis on or about 29 September 2003. This is your opportunity to provide comments, additional information, or necessary context that may be helpful in our review of this matter. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 6 January 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/042
16 Sep 16

From: Commander, United States Fleet Forces Command
To: File

Subj: ADDITIONAL INFORMATION ICO [REDACTED] (b)(6), (b)(7)(C) USN

Ref: (a) CUSFF ltr 5800 Ser CDA/002 of 1 Mar 16

1. Reference (a) is the original disposition report of reportable findings regarding [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) In addition to what was provided in reference (a), there is no evidence that [REDACTED] (b)(6), (b)(7)(C) [REDACTED] (b)(6), (b)(7)(C) provided any money to any party, including GDMA, for, or in connection with, the 29 August 2007 dinner.

2. My point of contact for this matter is [REDACTED] (b)(6), (b)(7)(C) may be reached at [REDACTED] (b)(6), (b)(7)(C) @navy.mil.

[REDACTED] (b)(6), (b)(7)(C)

By direction



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/030
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that while you were serving as the (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- Between on or about 1 January and 21 July 2007, you received the gift of a bottle of wine from GDMA, a prohibited source;
- Between on or about 6 August and 1 September 2007, you solicited gifts of discounted hotel lodging for other officers in Singapore from GDMA;
- Between on or about 25 August and 1 September 2007, you received the gift of a brunch in Singapore from GDMA; and
- A (b)(6), (b)(7)(C) solicited improper gifts of discounted hotel lodging for other officers in Hong Kong from GDMA.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or (b)(6), (b)(7)(C) @navy.mil.

Sincerely

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/029
17 Aug 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that between on or about 19 July and 1 September 2007, while you were serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN 68):

- You received an item of monetary value from GDMA, a prohibited source; specifically, a bottle of wine, which you received in Singapore;
- (b)(6), (b)(7)(C) solicited improper gifts of discounted hotel lodging for other officers in Hong Kong and Singapore from GDMA; and
- You patronized the services of a prostitute in Singapore.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than Thursday, 25 August 2016. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) or

(b)(6), (b)(7)(C) [@navy.mil](mailto:(b)(6), (b)(7)(C)@navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/025
30 Jun 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) of USS DECATUR (DDG 73), received items of monetary value from GDMA, a prohibited source; specifically:

- On 20 September 2003, the gift of a dinner event in Singapore, in excess of ethical limits; and
- On or about 21 September 2003, the gifts of flowers and a pewter vase, a pewter tankard, and a name plate, in excess of ethical limits.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

or (b)(6), (b)(7)(C) [@navy.mil](mailto: @navy.mil).

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/015
5 May 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur;
- Between on or about 14 and 18 June 2013, the gifts of discounted hotel accommodations and transportation in Jakarta; and
- Between on or about 29 June and 5 July 2013, the gift discounted of hotel accommodations in Darwin.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. There is a Navy Defense Service Office located in Yokosuka. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/014
5 May 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur; and
- Between on or about 14 and 18 June 2013, the gifts of discounted hotel accommodations and transportation in Jakarta.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. There is a Navy Defense Service Office located in San Diego. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/013
5 May 2016

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving on USS BLUE RIDGE (LCC 19) received items of monetary value from GDMA, a prohibited source; specifically:

- Between on or about 3 June and 7 June 2013, the gifts of discounted hotel accommodations and transportation in Kuala Lumpur;
- Between on or about 14 and 18 June 2013, the gift of discounted hotel accommodations in Jakarta;
- Between on or about 29 June and 5 July 2013, the gift of discounted hotel accommodations in Darwin; and
- Between on or about 23 August and 25 August 2013, the gifts of discounted hotel accommodations and transportation in Busan.

This is your opportunity to provide comments, additional information, or necessary context. Please know that your response is completely voluntary and you are free to consult with legal counsel if you so desire. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/008
25 April 2016

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) USS NIMITZ (CVN-68) and as the (b)(6), (b)(7)(C) of USS TARAWA (LHA-1), received items of monetary value from GDMA, a prohibited source; specifically:

- On 29 August 2007, the gift of a dinner event in Singapore, in excess of ethical limits;
- In August – September 2007, the gift of discounted hotel rooms in Hong Kong and Singapore;
- The gift of a discounted ship model of the USS NIMITZ; and
- The gift of a discounted ship model of the USS TARAWA.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/003
1 Mar 16

(b)(6), (b)(7)(C)

Dear (b)(6), (b)(7)(C)

The Glen Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed credible evidence that you, while serving as (b)(6), (b)(7)(C) of USS NIMITZ (CVN-68), received several items of monetary value from GDMA, a prohibited source, during the NIMITZ deployment in 2007. Specifically:

- On or about 29 August 2007, the gift of a dinner event for you (b)(6), (b)(7)(C) in Singapore, with a market value of approximately \$1,000 per person;
- In August-September 2007, the gift of discounted hotel rooms for you (b)(6), (b)(7)(C) (b)(6), (b)(7)(C) in Hong Kong and Singapore.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA CDA will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 15 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C) (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/001
27 Jan 16

(b)(6), (b)(7)(C)

Dear

(b)(6), (b)(7)(C)

The Glen Defense Marine Asia (GDMA) Consolidated Disposition Authority Legal Staff has reviewed credible evidence that you, while serving as the (b)(6), (b)(7)(C) of USS NIMITZ (CVN-68), received a number of items of monetary value from GDMA, a prohibited source, during the NIMITZ deployment in 2007; specifically:

- On or about 29 August 2007, the gift of a dinner event for you and (b)(6), (b)(7)(C) in Singapore, with a market value of approximately \$1000 per person;
- In August- September 2007, the gift of discounted hotel rooms in Hong Kong and Singapore; and
- The gift of a discounted ship model with a fair market value of approximately \$1000.

This is your opportunity to provide comments, additional information, or necessary context. Should you choose to respond to this letter, the GDMA Consolidated Disposition Authority (CDA) will consider your response before reaching any final conclusions about the matters listed above.

Please provide any response no later than 10 days from the date of this letter. Should you have any questions or need additional time, please contact (b)(6), (b)(7)(C)

Sincerely,

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/49
21 Oct 16

From: Commander, United States Fleet Forces Command
To: Assistant Commandant of the Marine Corps

Subj: RECOMMENDATION ICO MEMBERS IN ATTENDANCE AT 20 SEPTEMBER
2003 SINGAPORE DINNER HOSTED BY GDMA

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I am charged with forwarding factual findings and a non-binding recommendation to you for disposition as you deem appropriate in any case involving Marine Corps personnel. Based on a thorough review and legal analysis, I determined that a preponderance of the evidence does not substantiate any allegations of misconduct against a number of individuals who were in attendance at a dinner hosted by GDMA during an Expeditionary Strike Group ONE port visit to Singapore in September 2003.

2. On or about 20 September 2003, (b)(6), (b)(7)(C) USMC, (b)(6), (b)(7)(C) USMC, and (b)(6), (b)(7)(C) USMC, attended a lavish dinner event in Singapore with a market value in excess of ethical limits that was paid for by GDMA, a prohibited source. I determined that none of the gift exceptions contained in reference (c) apply to this dinner event.

3. Based on all the facts and circumstances, I determined that the individuals named above who did attend the dinner held an honest and reasonable belief that their attendance at the dinner was

(b)(7)(A)

(b)(6), (b)(7)(C)

and accordingly, that it was ethically permissible for them to attend.

(b)(7)(A)

(b)(7)(A)

(b)(7)(A) As such, I determined that it would be inappropriate to substantiate misconduct against any of the above listed individuals.

4. There is no evidence that any of the individuals listed above provided any money to any party, including GDMA, for, or in connection with, the 20 September 2003 dinner. I considered all potential and appropriate remedies consistent with the evidence and findings of fact. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gift received.

5. The above findings constitute reportable information in accordance with reference (d). I recommend you take no administrative action with respect to the subject case; however, I do recommend ethics training for (b)(6), (b)(7)(C) who remains on active duty. My point of contact for

Subj: REPORTABLE INFORMATION ICO MEMBERS IN ATTENDANCE AT 20
SEPTEMBER 2003 SINGAPORE DINNER HOSTED BY GDMA

this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) or
(b)(6), (b)(7)(C)@navy.mil.



P. S. DAVIDSON



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/011
4 May 2016

From: Commander, United States Fleet Forces Command
To: Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 2015
(b) VCNO CDA Memo dtd 1 Oct 2015
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the consolidated disposition authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of potential misconduct against [REDACTED] while [REDACTED] was serving as [REDACTED] of Carrier Strike Group ONE in 2011. Based on a preponderance of evidence, I substantiated that [REDACTED] wrongfully:

- 1) Accepted discounted hotel accommodations from GDMA on three separate occasions;
- 2) Disclosed FOUO information (U.S. Navy Flag Roster) to Mr. Leonard Francis/President of GDMA;
- 3) Conducted [REDACTED] in a manner unbecoming an officer [REDACTED] in [REDACTED] interactions with Mr. Francis and GDMA.
- 4) Accepted the gift of alcohol and appetizers in Mr. Francis's hotel suite in Kuala Lumpur; and
- 5) Accepted the gift of a private dinner with Mr. Francis at a hotel restaurant in Hong Kong.

2. Between 22 January 2011 and 24 May 2011, [REDACTED] accepted the gift of discounted hotel lodging on three different occasions, with the discount being paid for by GDMA. The total value of the discounts was approximately \$3040.00, and none of the gift exceptions in reference (c) applied. While [REDACTED] did not make the hotel reservations directly, [REDACTED] was aware that GDMA was booking the rooms, that the rooms were deeply discounted, and that the discounts were provided by GDMA and not generally available to others.

3. On 29 July 2011, [REDACTED] sent the July 2011 Navy Flag Officer roster via e-mail to Mr. Francis upon his request for information regarding senior officers of an incoming strike group. The roster was clearly marked "For Official Use Only," and it contained the professional contact information for every Flag Officer in the Navy, along with the names of their spouses. [REDACTED] requested that Mr. Francis keep the roster "close hold" which shows [REDACTED] was aware of the wrongfulness of his actions. This was a violation Department of the Navy Information Security Program Instruction (SECNAVINST 5510.36A).

4. (b)(6), (b)(7)(C) inappropriately socialized directly with Mr. Francis on numerous occasions despite warnings from the staff judge advocate to avoid interactions with Mr. Francis and GDMA. On at least two occasions (b)(6), (b)(7)(C) spent time drinking and partying in Mr. Francis's hotel suite while women (b)(6), (b)(7)(C) believed to be prostitutes were present, and on at least one occasion admitted to taking one of the women back to (b)(6), (b)(7)(C) room and receiving a back massage from her (b)(6), (b)(7)(C) also admitted to accepting gifts of alcohol and appetizers during the parties in Mr. Francis's hotel suite. During a port visit to Hong Kong, (b)(6), (b)(7)(C) assisted Mr. Francis in setting up a private cocktail hour with the (b)(6), (b)(7)(C) after which (b)(6), (b)(7)(C) accepted the gift of a dinner paid for by Mr. Francis at a hotel restaurant. Overall, (b)(6), (b)(7)(C) failed to live up the standards expected of an officer of (b)(6), (b)(7)(C) rank and experience during (b)(6), (b)(7)(C) interactions with Mr. Francis and GDMA. Taken as a whole, (b)(6), (b)(7)(C) conduct constituted conduct unbecoming an officer (b)(6), (b)(7)(C)

5. The above findings constitute adverse information in accordance with reference (d). I addressed these issues with (b)(6), (b)(7)(C) at Admiral's Mast and imposed nonjudicial punishment in the form of a punitive letter of reprimand and forfeiture of one-half pay for one month (b)(6), (b)(7)(C) My findings were in accordance with (b)(6), (b)(7)(C) pleas of guilty.

6. My point of contact for this matter is (b)(6), (b)(7)(C) may be reached at (b)(6), (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09BL)
CNP (N00L)
NCIS (b)(6), (b)(7)(C)
DCIS

REPORT AND DISPOSITION OF OFFENSE(S)

NAVPERS 1626/7

To: Commander, U.S. Fleet Forces Command Date of Report: 13Apr2016
 I hereby report the following named person for the offense(s) noted:

NAME OF ACCUSED	SERIAL NO.	SOCIAL SECURITY NO.	RATE/GRADE	BR. & CLASS	DIV/DEPT
(b)(6), (b)(7)(C)	NA	(b)(6), (b)(7)(C)		USN	

PLACE OF OFFENSE(S)	DATE OF OFFENSE(S)
At or near Kuala Lumpur, Malaysia; Manilla, the Philippines; Hong Kong, People's Republic of China; San Diego, California	On or about 22-25 January 2011, 15-19 May 2011, 22-24 May 2011, 29 July 2011

DETAILS OF OFFENSE(S) (Refer by Article of UCMJ if known. If unauthorized absence, give following info: time and date of commencement, whether over leave or liberty, time and date of apprehension or surrender and arrival on board, loss of ID card and/or liberty card, etc.):

Charge I: Violation of the UCMJ, Article 92

Specification 1 (Violation of a Lawful General Order): In that (b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, did, at or near San Diego, California, on or about 29 July 2011, violate a lawful general regulation, to wit paragraph 7(a) of SECNAVINST 5510.36A, by wrongfully disclosing the U.S. Navy Flag Officer roster of July 2011 to Mr. Leonard Francis.

See Continuation Page

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT
(b)(6), (b)(7)(C)			(b)(6), (b)(7)(C)		
(Rate/Grade/Title of person submitting report)					

I have been informed of the nature of the accusation(s) against me. I understand I do not have to answer any questions or make any statement regarding the offense(s) of which I am accused or suspected. However, I understand any statement made or questions asked in my presence against me in event of trial by court-martial (Article 21, UCMJ).

Witness: (b)(6), (b)(7)(C) Acknowledged (b)(6), (b)(7)(C)

PRE-TRIAL RESTRAINT

PRE-TRIAL CONFINEMENT RESTRICTED: You are restricted to the limits of _____ in lieu of arrest by order of the CO. Until your status as a restricted person is terminated by the CO, you may not leave the restricted limits except with the express permission of the CO or XO. You have been informed of the times and places which you are required to muster.

NO RESTRICTION

(Signature and title of person imposing restraint)

(Signature of Accused)

INFORMATION CONCERNING ACCUSED

CURRENT ENL. DATE	EXPIRATION CURRENT ENL. DATE	TOTAL ACTIVE NAVAL SERVICE	TOTAL SERVICE ON BOARD	EDUCATION	GCT	AGE
(b)(6), (b)(7)(C)	N/A	(b)(6), (b)(7)(C)	N/A	N/A	N/A	(b)(6), (b)(7)(C)
MARITAL STATUS	NO. DEPENDENTS	CONTRIBUTION TO FAMILY OR QTRS. ALLOWANCE (Amount required by law)		PAY PER MONTH (Including sea or foreign duty pay, if any)		
(b)(6), (b)(7)(C)		N/A		(b)(6), (b)(7)(C) ppm / 1/2 (b)(6), (b)(7)(C)		

RECORD OF PREVIOUS OFFENSE(S) (Date type action taken etc. Nonjudicial punishment incidents are to be included.)

CONTINUATION OF NAVPERS 1626/7 ICO

(b)(6), (b)(7)(C)

Charge I: Violation of the UCMJ, Article 92 (continued)

(b)(6), (b)(7)(C) *by direction*

Specification 2 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Kuala Lumpur, Malaysia, on or about 22 January 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of a meal from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 3 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Kuala Lumpur, Malaysia, on or about 22-25 January 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$716.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 4 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Manila, the Philippines, on or about 15-19 May 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$748.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Specification 5 (Dereliction of Duty): In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time of the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE, who knew or should have known of (b)(6), (b)(7)(C) duty at or near Hong Kong, People's Republic of China, on or about 22-24 May 2011, was derelict in the performance of that duty in that (b)(6), (b)(7)(C) negligently failed to refuse the improper gift of discounted hotel accommodations with an approximate value of \$1,576.00, from Mr. Leonard Francis, a prohibited source, as it was (b)(6), (b)(7)(C) duty to do.

Charge II: Violation of the UCMJ, Article 133 (Conduct Unbecoming an Officer)

(b)(6), (b)(7)(C)

Specification: In that

(b)(6), (b)(7)(C)

(b)(6), (b)(7)(C) on active duty, being at the time the offense the (b)(6), (b)(7)(C) of Carrier Strike Group ONE did, at or near Kuala Lumpur, Malaysia, Manila, the Philippines, and Hong Kong, People's Republic of China, on divers occasions between about January 2011 and about May 2011, wrongfully (1) provide a Flag Officer Roster to Mr. Leonard Francis, (2) negligently accept gifts from Mr. Leonard Francis in the form of a meal and discounted hotel rooms, (3) associate with women believed to be prostitutes while at group events with Mr. Leonard Francis, and (4) fail to take appropriate precautionary or remedial action that would be expected of an officer of (b)(6), (b)(7)(C) rank and experience by having repeated unofficial and unprofessional interactions with a prohibited source such conduct being unbecoming an officer (b)(6), (b)(7)(C)

PRELIMINARY INQUIRY REPORT

From: _____ Date: _____

To: _____

1. Transmitted herewith for preliminary inquiry and report by you, including, if appropriate in the interest of justice and discipline, the preferring of such charges as appeal to you to be sustained by expected evidence.

REMARKS OF DIVISION OFFICER (Performance of duty, etc.)

NAME OF WITNESS	RATE/GRADE	DIV/DEPT	NAME OF WITNESS	RATE/GRADE	DIV/DEPT

RECOMMENDATION AS TO DISPOSITION

DISPOSE OF CASE AT MAST

REFER TO COURT-MARTIAL FOR TRIAL OF ATTACHED CHARGES (Complete Charge Sheet (DD Form 458) through Page 2)

NO PUNITIVE ACTION NECESSARY OR DESIRABLE

OTHER

COMMENT (Include data regarding availability of witnesses, summary of expected evidence, conflicts in evidence, if expected. Attach statements of witnesses, documentary evidence such as service record entries in UA cases, items of real evidence, etc.)

(Signature of Investigating Officer)

ACTION OF EXECUTIVE OFFICER

DISMISSED

REFER TO CAPTAIN'S MAST

SIGNATURE OF EXECUTIVE OFFICER _____

RIGHT TO DEMAND TRIAL BY COURT-MARTIAL

(Not applicable to persons attached to or embarked in a vessel)

I understand that nonjudicial punishment may not be imposed on me if, before the imposition of such punishment, I demand in lieu thereof trial by court-martial. I therefore (do) (do not) demand trial by court-martial. *SEE Agreement*

WITNESS _____ SIGNATURE OF ACCUSED _____

ACTION OF COMMANDING OFFICER

DISMISSED

DISMISSED WITH WARNING (Not considered NJP)

ADMONITION: ORAL/IN WRITING

REPRIMAND: ORAL/IN WRITING

REST. TO _____ FOR _____ DAYS

REST. TO _____ FOR _____ DAYS WITH SUSP. FROM DUTY

FORFEITURE: TO FORFEIT \$ 1/2 PAY PER MO. FOR 1 MO(S)

DETENTION: TO HAVE \$ _____ PAY PER MO. FOR (1, 2, 3) MO(S) DETAINED FOR _____ MO(S)

CONF. ON _____, 1, 2, OR 3 DAYS

CORRECTIONAL CUSTODY FOR _____ DAYS

REDUCTION TO NEXT INFERIOR PAY GRADE

REDUCTION TO PAY GRADE OF _____

EXTRA DUTIES FOR _____ DAYS

PUNISHMENT SUSPENDED FOR _____

REFER TO ART. 32 INVESTIGATION

RECOMMENDED FOR TRIAL BY GCM

AWARDED SPCM

AWARDED SCM

DATE OF MAST 22 Apr 2016 DATE ACCUSED INFORMED OF ABOVE ACTION 22 Apr 2016 SIGNATURE OF COMMANDING OFFICER *[Signature]*

It has been explained to me and I understand that if I feel this imposition of nonjudicial punishment to be unjust or disproportionate to the offenses charged against me, I have the right to immediately appeal my conviction to the next higher authority within 5 days.

SIG _____ DATE 4/22/16 I have explained the above right _____ SIGNATURE OF WITNESS _____ DATE: 22 APR 16

FINAL ADMINISTRATIVE ACTION

APPEAL SUBMITTED BY ACCUSED _____ DATED: _____ FORWARDED FOR DECISION ON: _____

FINAL RESULT OF APPEAL: No Appeal Submitted

APPROPRIATE ENTRIES MADE IN SERVICE RECORD AND PAY ACCOUNT ADJUSTED WERE REQUIRED _____ DATE: Forfeitures processed 5/19/16 _____ FILED IN UNIT PUNISHMENT BOOK: _____ DATE: _____

NAVPERS 1626/7 (Rev. 12-88) (BACK)